

# Wireless Local Positioning of Straddle Carriers, Mobile Robots, and UAVs for Tracking and Autonomous Navigation

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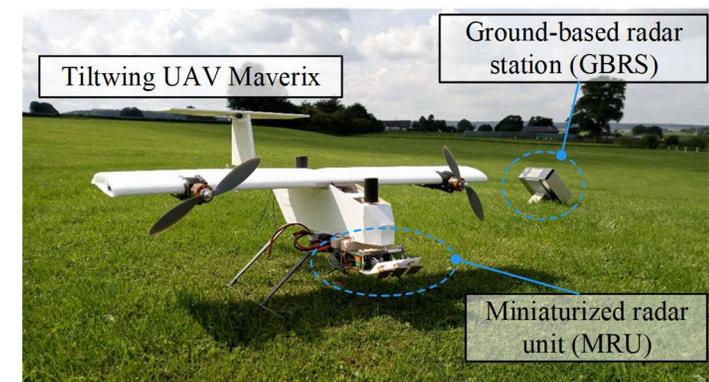
# Outline

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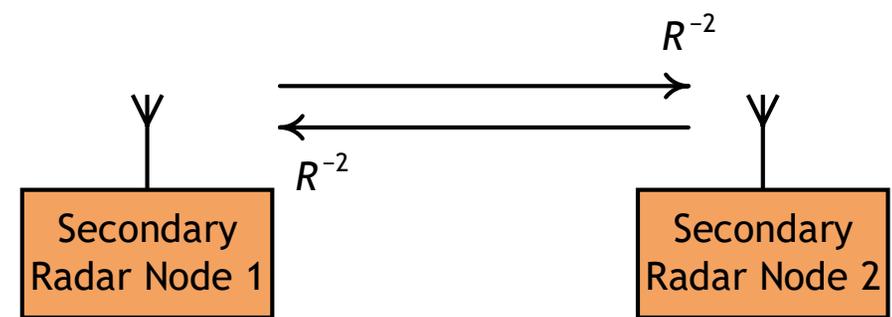
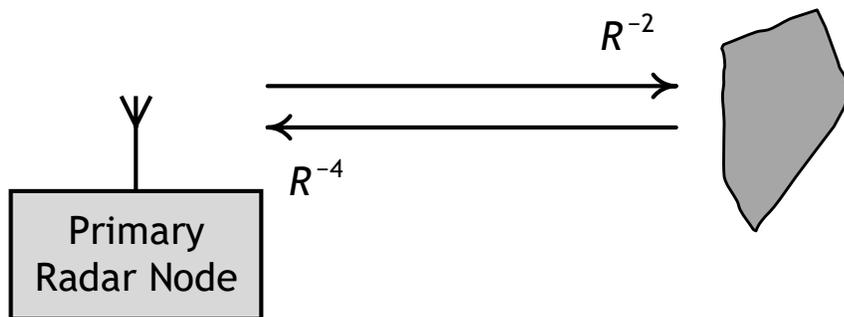
1. **Motivation & Secondary Radar**
2. Established Application: Wireless Local Positioning System (WLPS) for Container Terminal Logistics
3. New Approach: RTOF + DOA
  1. 6 DoF WLPS for Space Exploration and Terrestrial Applications
  2. 2D WLPS for Healthcare Service Robotics
  3. 3D WLPS with Minimum Infrastructure for UAVs
4. Conclusion & Outlook

# Motivation

- Autonomous vehicles to grow in importance
  - Offer lower cost and higher reliability than human labor
  - Self-driving cars in complex and dynamic environments such as urban areas still a challenge
  - Autonomous vehicles on the rise in simpler scenarios in logistics, manufacturing, and service sectors
  - **Localization crucial for navigation**
- Localization technology
  - State of the art: GNSS (GPS / RTK) → global, but not available near buildings, under cranes, indoors, ...
  - **Wireless local positioning to complement GNSS and enable coverage in difficult situations**



# Primary and Secondary Radar



## Primary radar

- Detects passive targets
  - Rx power dependent on RCS and range with  $R^{-4}$
  - Target ID difficult
  - No synchronization necessary
- Imaging applications

## Secondary radar

- Active target
  - Rx power dependent on relative orientation and range with  $R^{-2}$
  - Target ID known
  - Sync challenging
- Positioning applications

# Outline

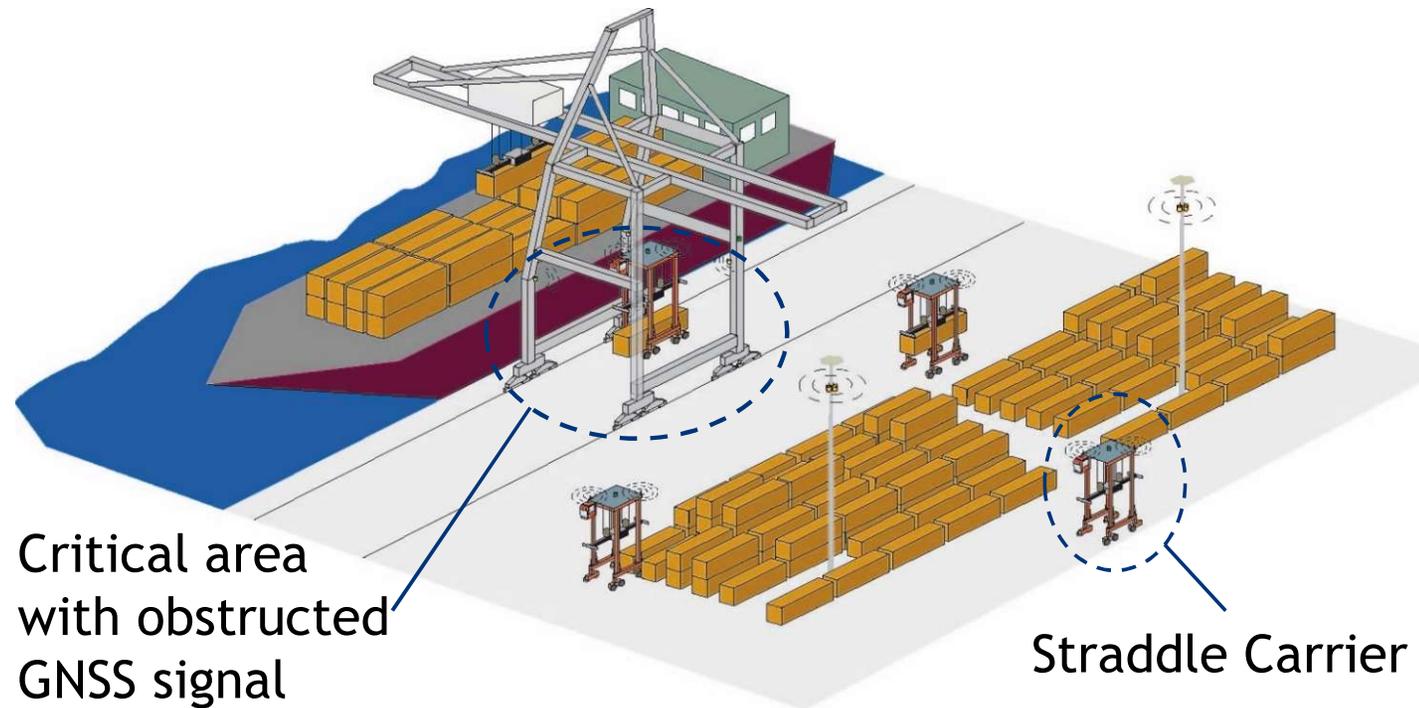
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2. **Established Application: Wireless Local Positioning System (WLPS) for Container Terminal Logistics**
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# Container Terminal Logistics Hamburger Hafen und Logistik AG (HHLA) terminal in Hamburg, Germany

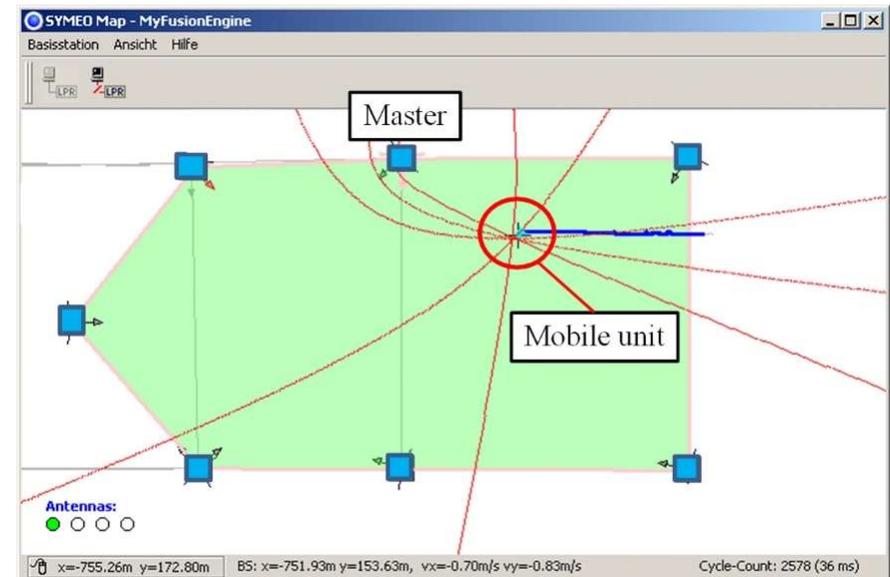
- Maritime logistics depends on efficient container handling
- Localization important for container tracking, route optimization, collision avoidance, **automation**

- Cranes block and disturb GNSS signals
- **Wireless local positioning system (WLPS) used additionally**



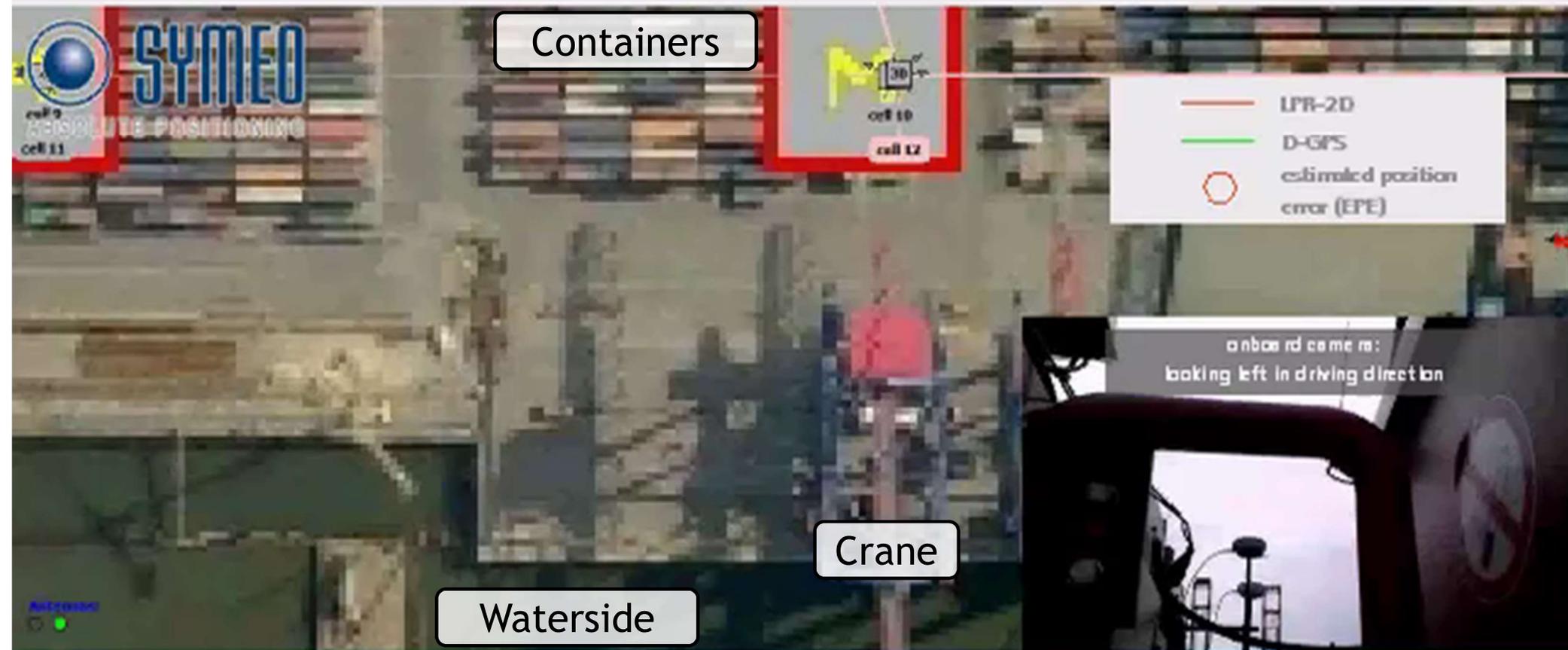
# Terminal Logistics WLPS

- Based on 5.8 GHz FMCW secondary radar with 100 MHz bandwidth
- 5-6 anchor nodes precisely synchronize wirelessly
- Anchor nodes periodically transmit
- Mobile nodes receive signals and compute position using inverse TDOA (ITDOA), similar to GPS using TOA  
 → Arbitrary number of mobile nodes



# WLPS at Hamburg Terminal

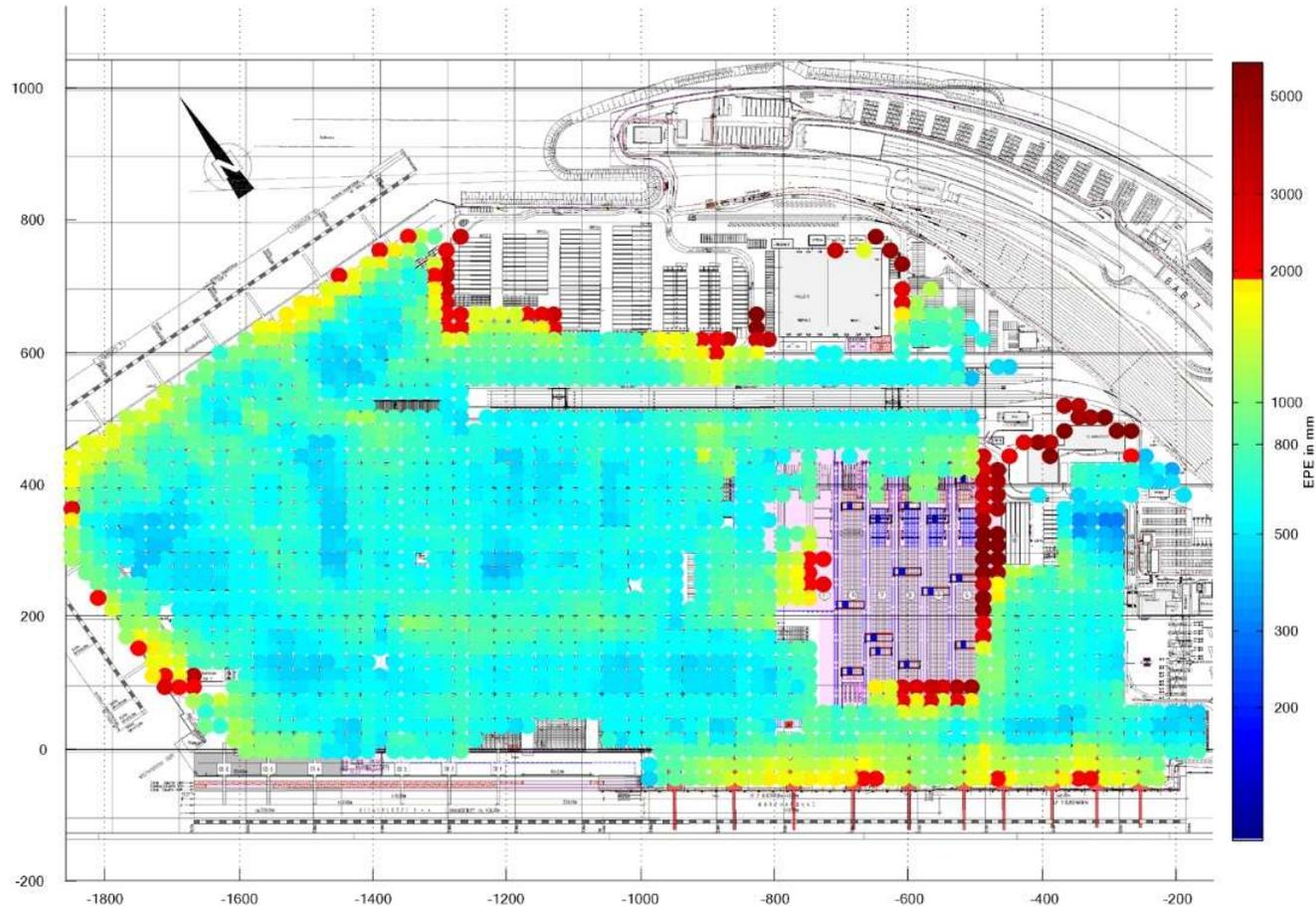
LPR-2D compared to D-GPS position  
on straddle carrier traveling in straight line along the quay



■ Unobstructed line of sight to GPS satellites: D-GPS position reliable

# Results at Hamburg Terminal

- Coverage: 73.6 % with GNSS vs. 99.4 % with WLPS
- Estimated Positioning Error (EPE) mostly < 60 cm (95 %)



# Outline

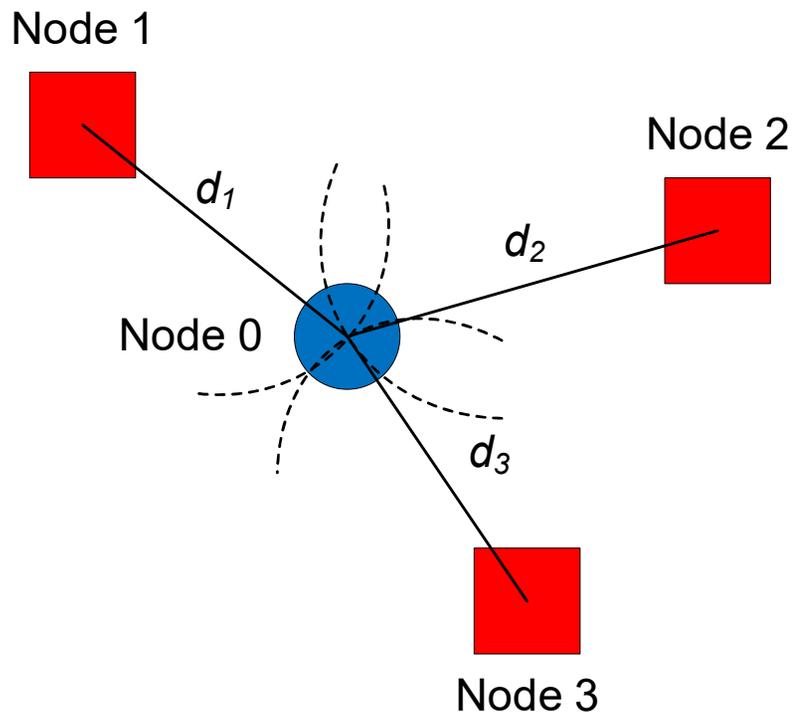
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# Multilateration vs. RTOF+DOA

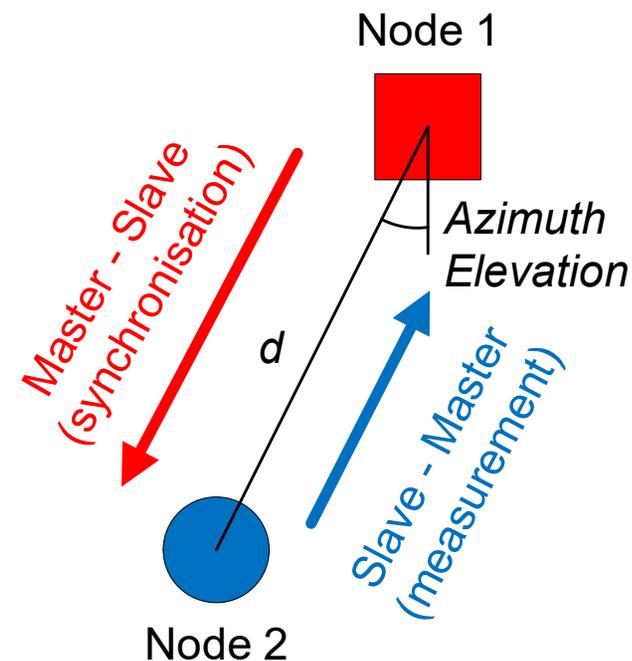
## Multilateration / multiangulation (TDOA, TOA, RTOF / DOA)

- Complex infrastructure
- Low reliability

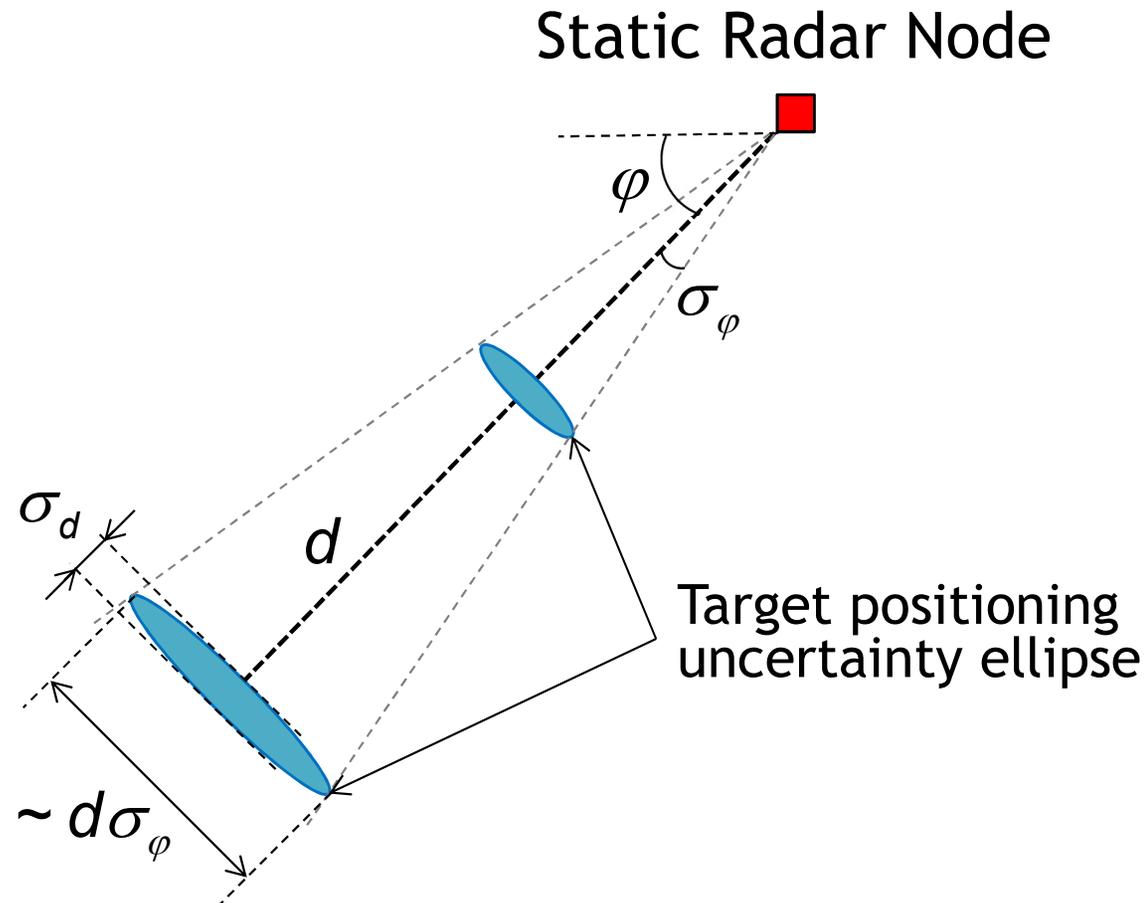


## Proposed solution (bilateral RTOF + 2D DOA)

- Minimum infrastructure
- High reliability
- Orientation estimation



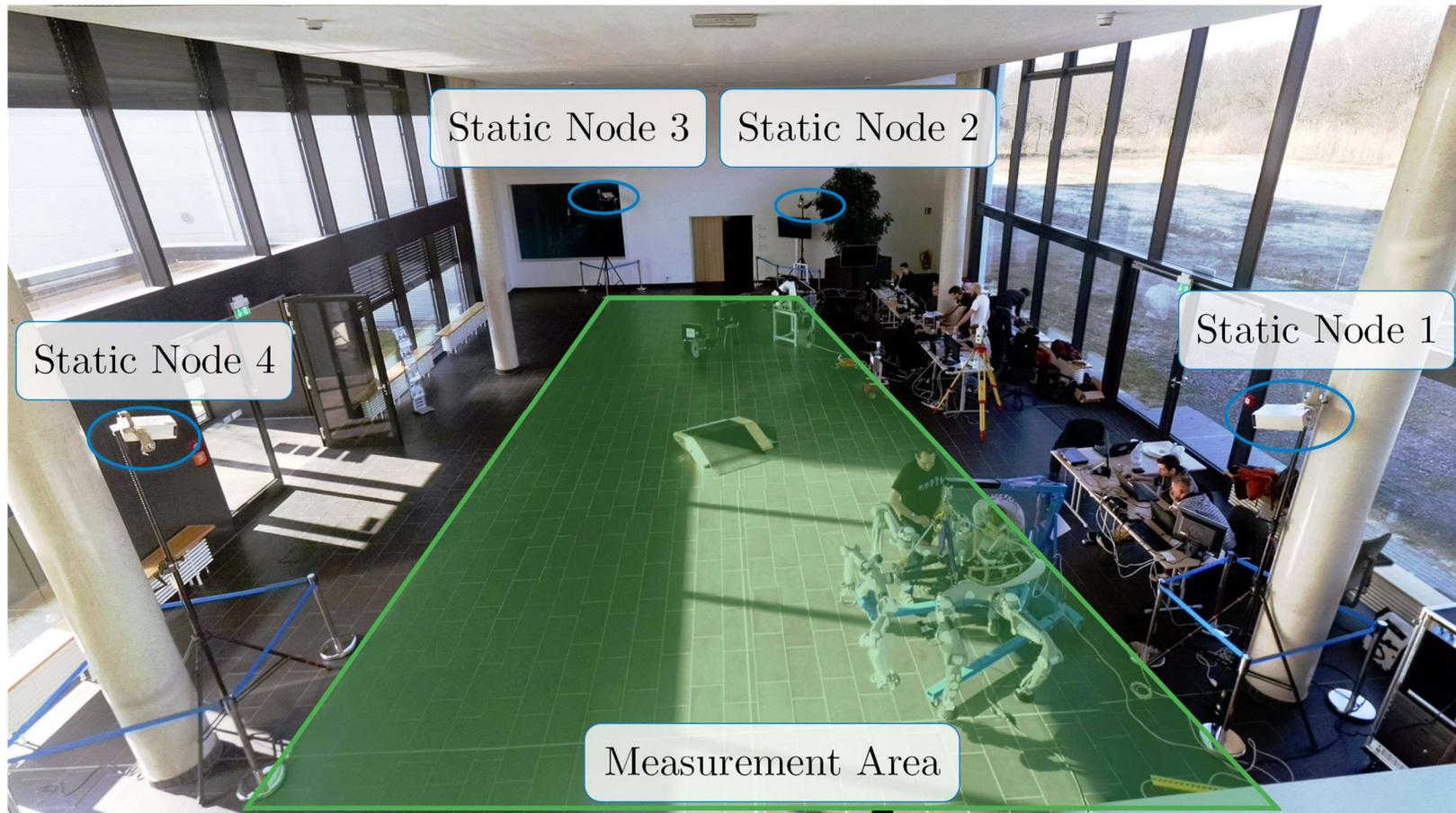
# RTOF + DOA Position Estimation Accuracy



→ Cross-range error increases with range

# Test Scenario

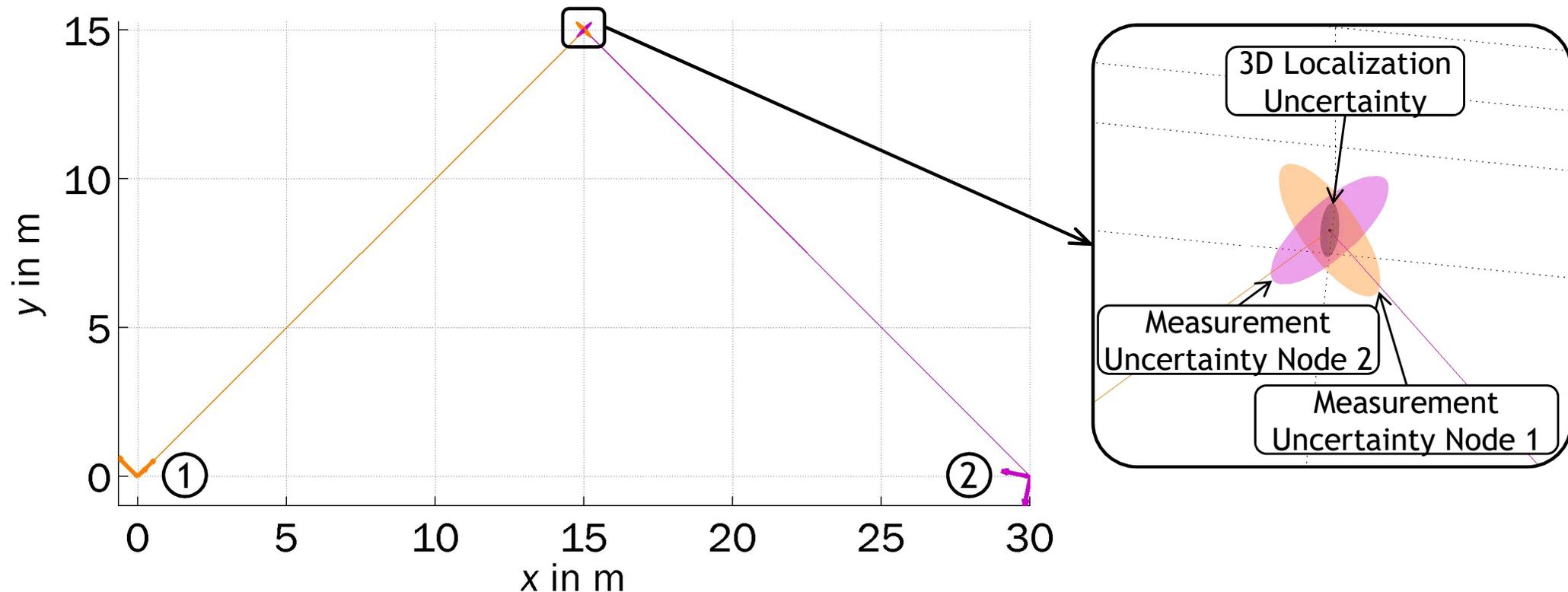
4 static nodes measuring RTOF + DOA to a mobile node



Measurements in Entrance Hall in DFKI Building, Bremen

# RTOF+DOA: Optimizing Node Placement

- Achievable accuracy depends on target and node positions
  - **Optimal: Intersections geometrically orthogonal**
  - **Kalman filter attains CRLB**



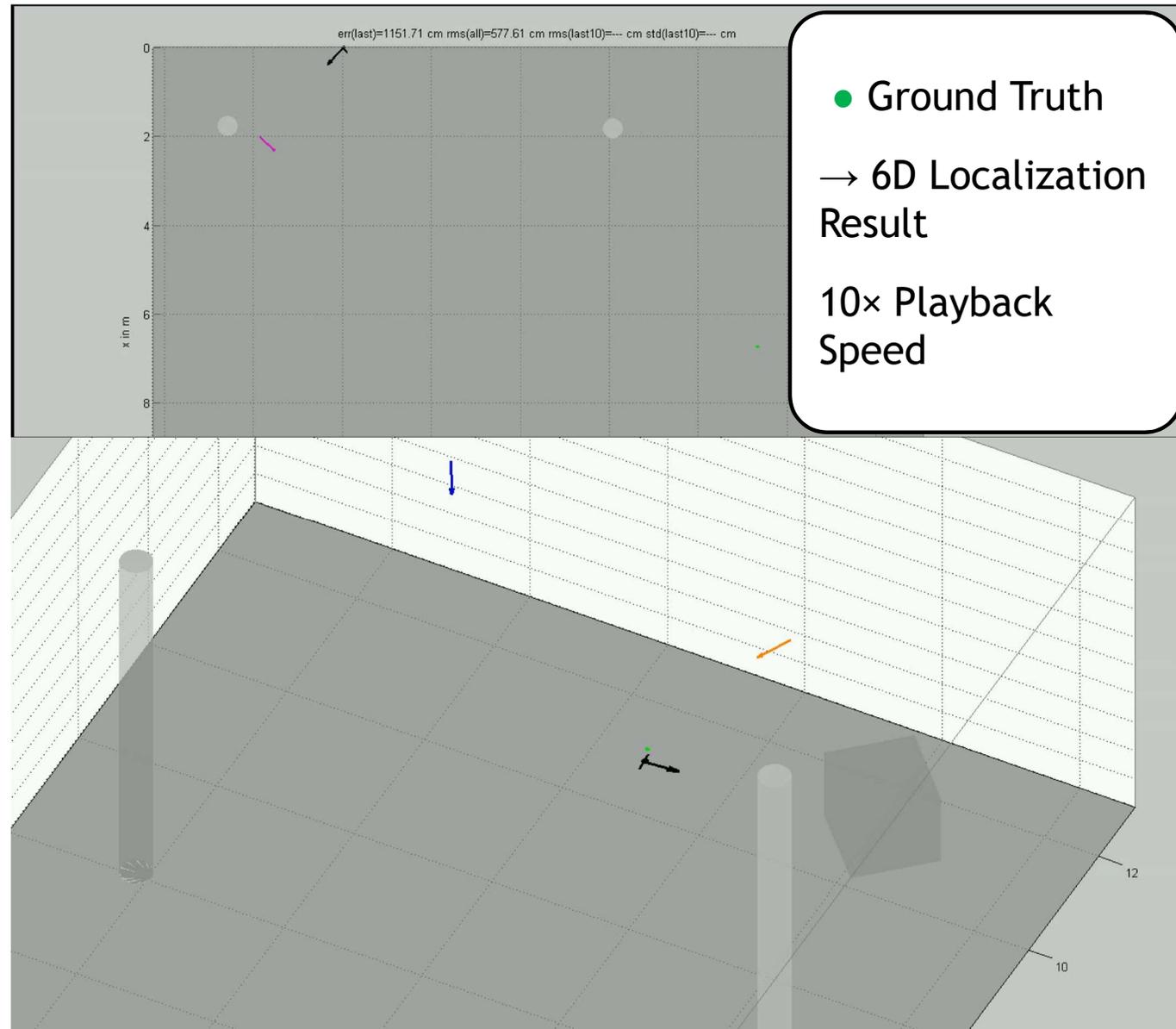
# EKF-Based Mobile Robot Localization with Secondary Radar

- 24 GHz FMCW radar, 8 Rx channels
- Achieved accuracy: 12 cm (95 %)
- Applications
  - Space exploration (Rover)
  - Warehouse (Forklift)
  - Logistics (Straddle carrier)

→ **Simultaneous 3D position and 3D orientation**

Developed as part of the project TransTerra funded by the German Aerospace Center (DLR)

Measurement campaign in collaboration with Robotics Innovation Center DFKI Bremen



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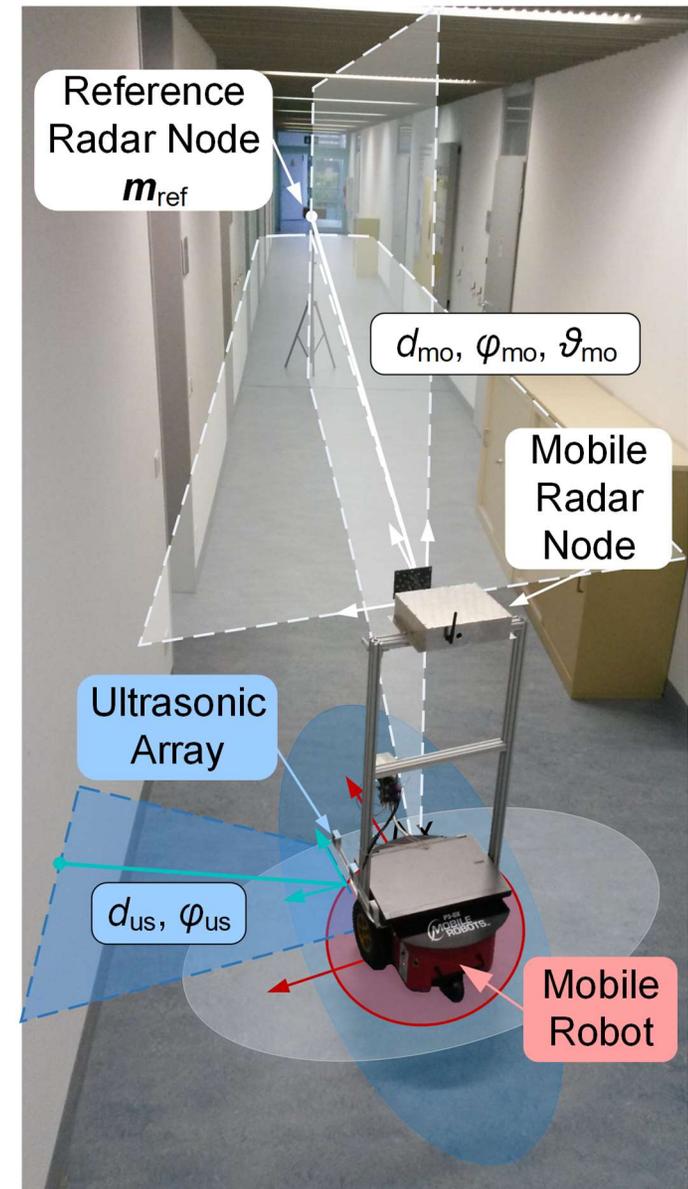
# WLPS for Healthcare Service Robotics

- Population aging → Strong demand for hospital workers
- Nursing staff spend much time for transportation tasks  
→ **Develop an autonomous service robot system to relieve nursing staff**

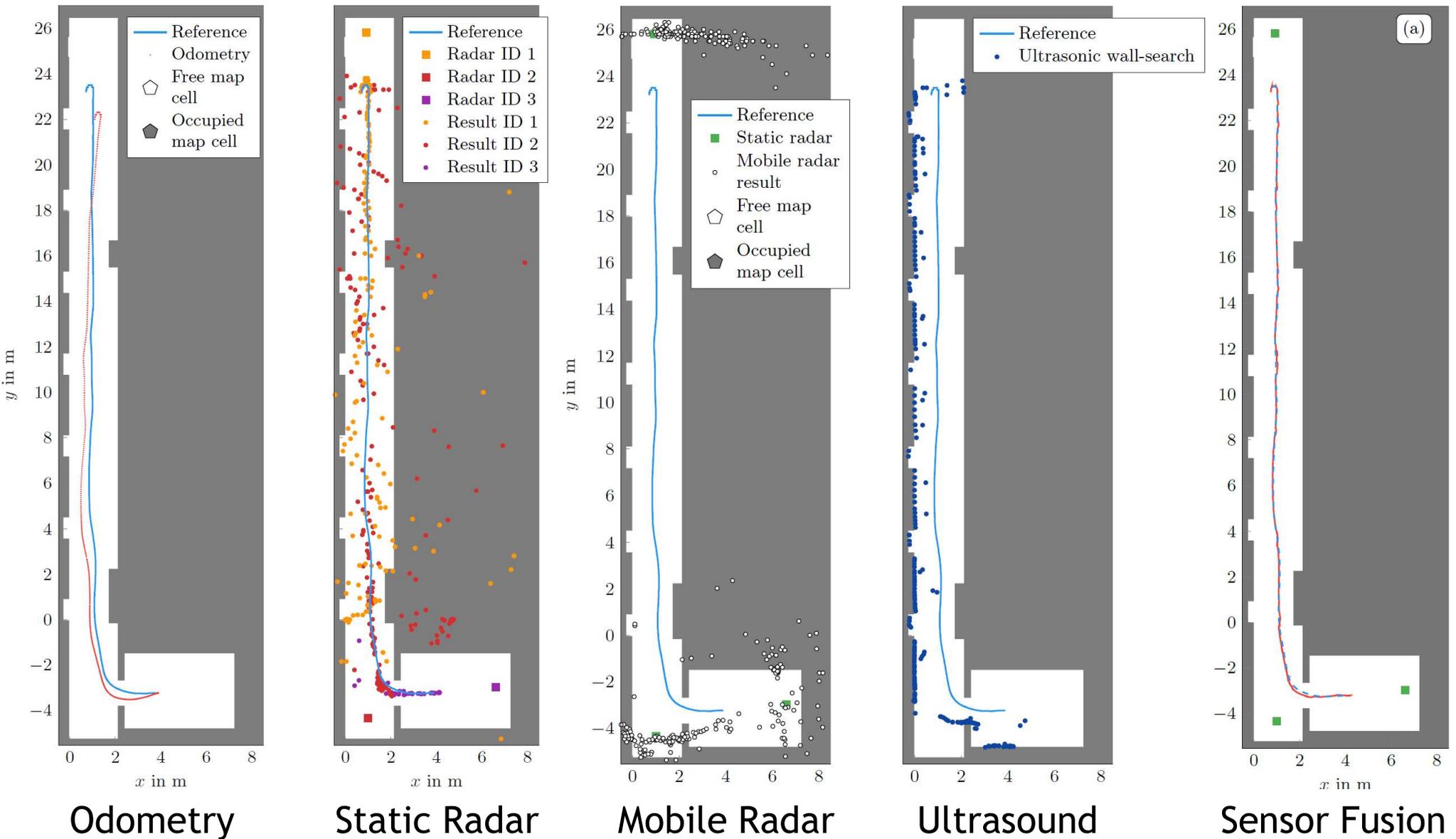


# Indoor WLPS for Hospitals

- Indoor environment challenges
  - no GPS
  - Cluttered rooms and long narrow corridors → numerous multipath reflections
  - Small spaces → high accuracy requirements
- Approach: Multi-modal sensor fusion (EKF)
  - Secondary radar
  - Ultrasonic wall-detection system
  - Odometry



# Sensor Measurement Results in Indoor Environment



# Localization Results

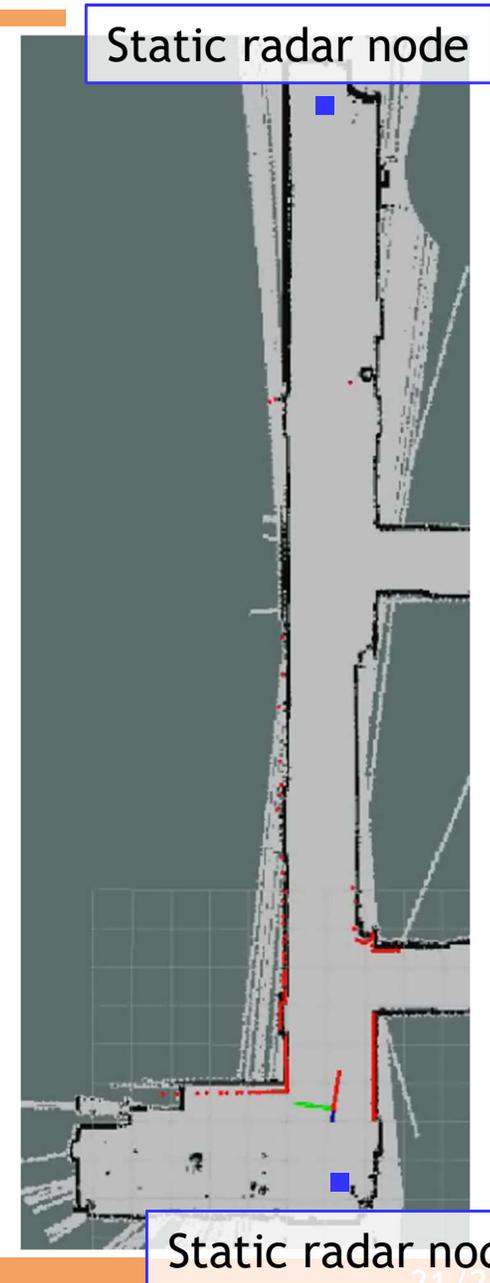
- Localization result (robot)
- Static radar node
- Reference (total station)  
– Corridor walls / doors / cabinets
- Person



Developed as part of the project iserveU funded by the Federal Ministry of Education and Research of Germany (BMBF)

# Tests in Katharinenhospital, Stuttgart, Germany

- Test in realistic scenarios
  - Achieved 2D position accuracy of 10 cm
  - Demonstrated stability
  - Performance comparable to laser scanner
- Indoor radar localization feasible



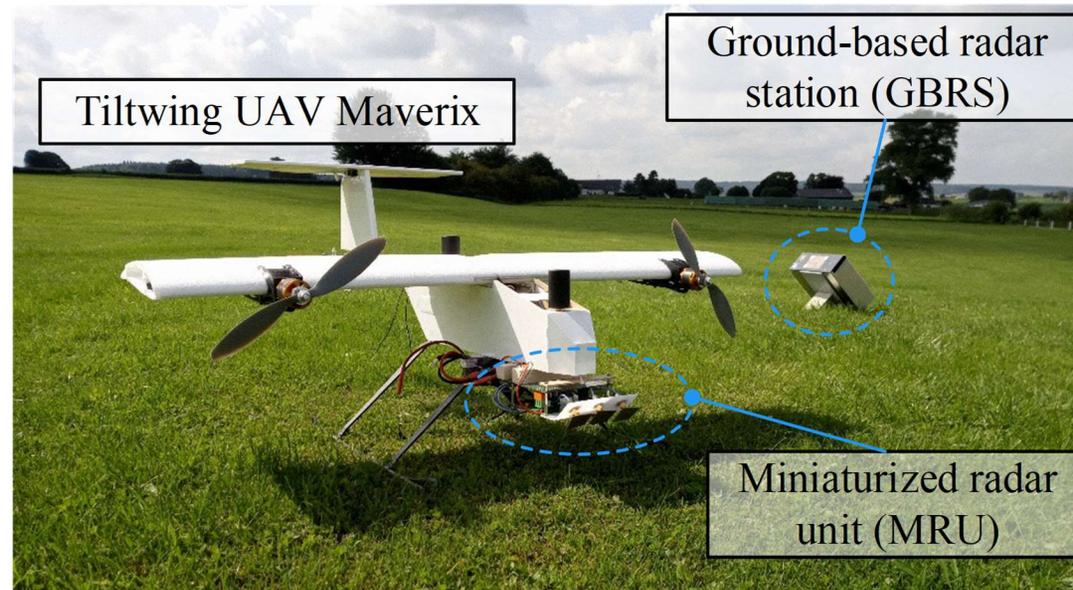
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# UAV 3D Localization

- Applications: Parcel delivery, surveillance, automated warehouse inventory, etc.
- Main Challenges: Reliability, safety, GNSS jamming
- Takeoff / landing most critical flight phase  
→ **Robust localization crucial**



# UAV 3D Localization



Measurement campaign in cooperation with Yavor Dobrev, Institute of Flight System Dynamics (FSD), RWTH Aachen

# Outline

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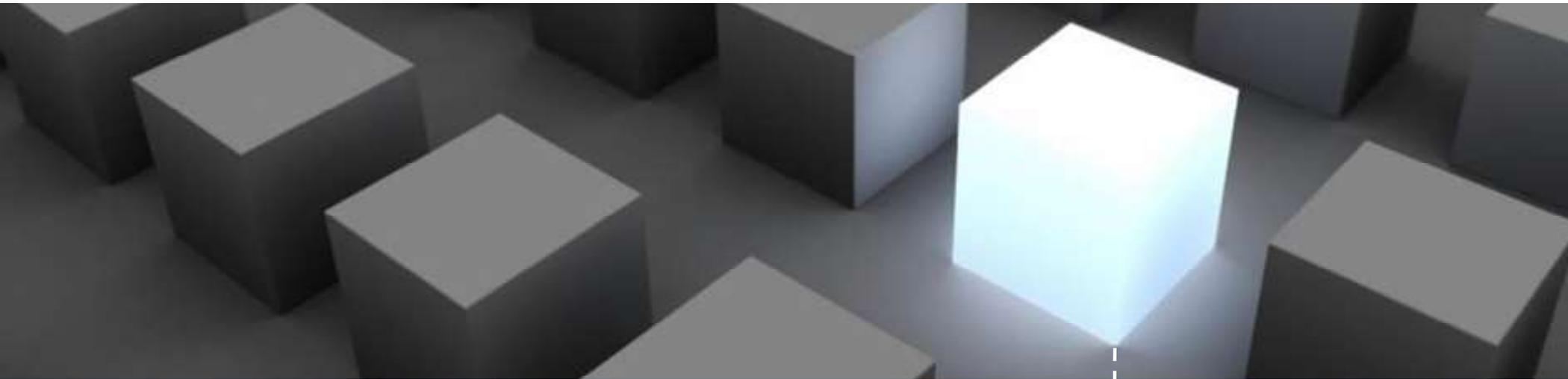
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# Conclusion and Outlook

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- **Conclusion**
  - **Autonomously operating devices** such as mobile service robots, straddle carriers, forklifts, UAVs with huge growth potential
  - **Wireless local positioning** enables robust and accurate localization in indoor and challenging outdoor scenarios
  - **Range and angle measurements** with secondary radar enable positioning with **minimum infrastructure**
- **Outlook: 77 GHz highly integrated automotive radar chips** provide higher bandwidth, more channels, miniaturization, hybrid primary / secondary operation

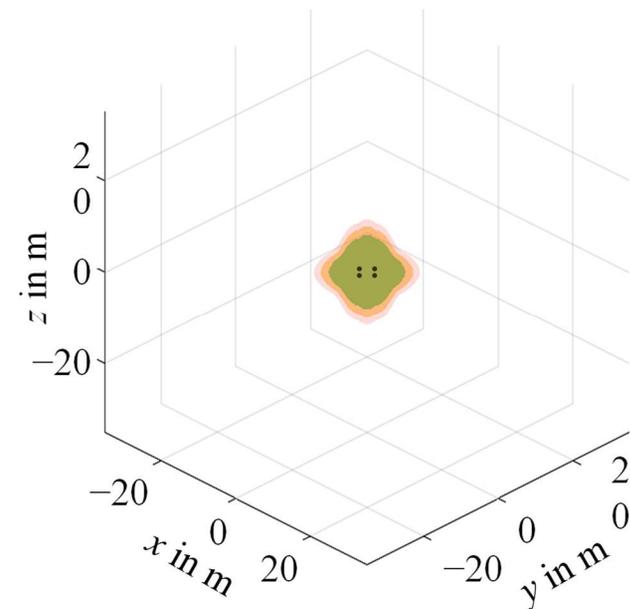
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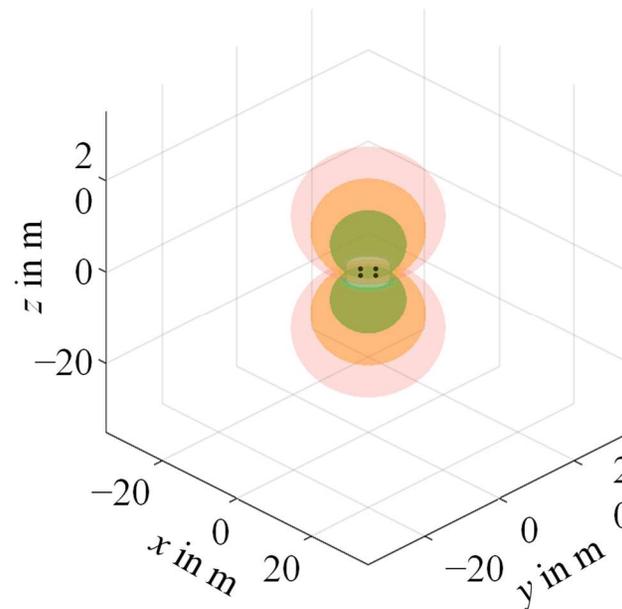
Backup Slides

# CRLB Multiangulation vs. Multilateration vs. RTOF+DOA

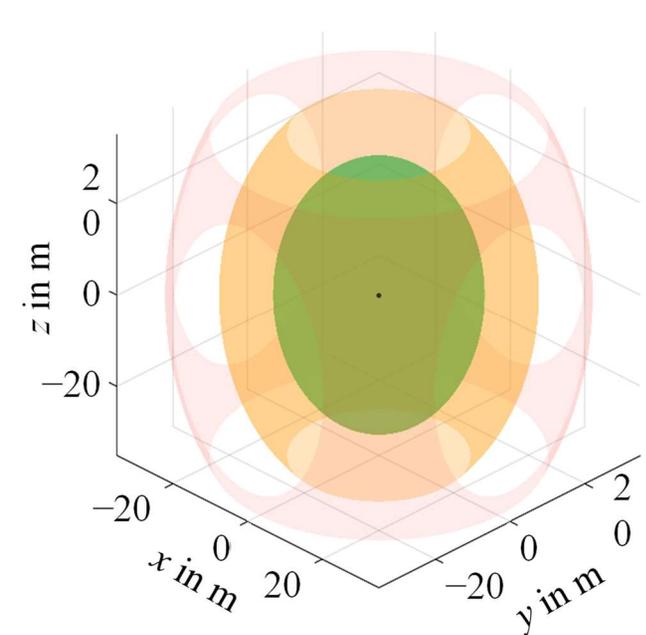
(a) Multiangulation 3D, 4 nodes



(b) Multilateration 3D, 4 nodes



(c) RTOF+DOA 3D, 1 node



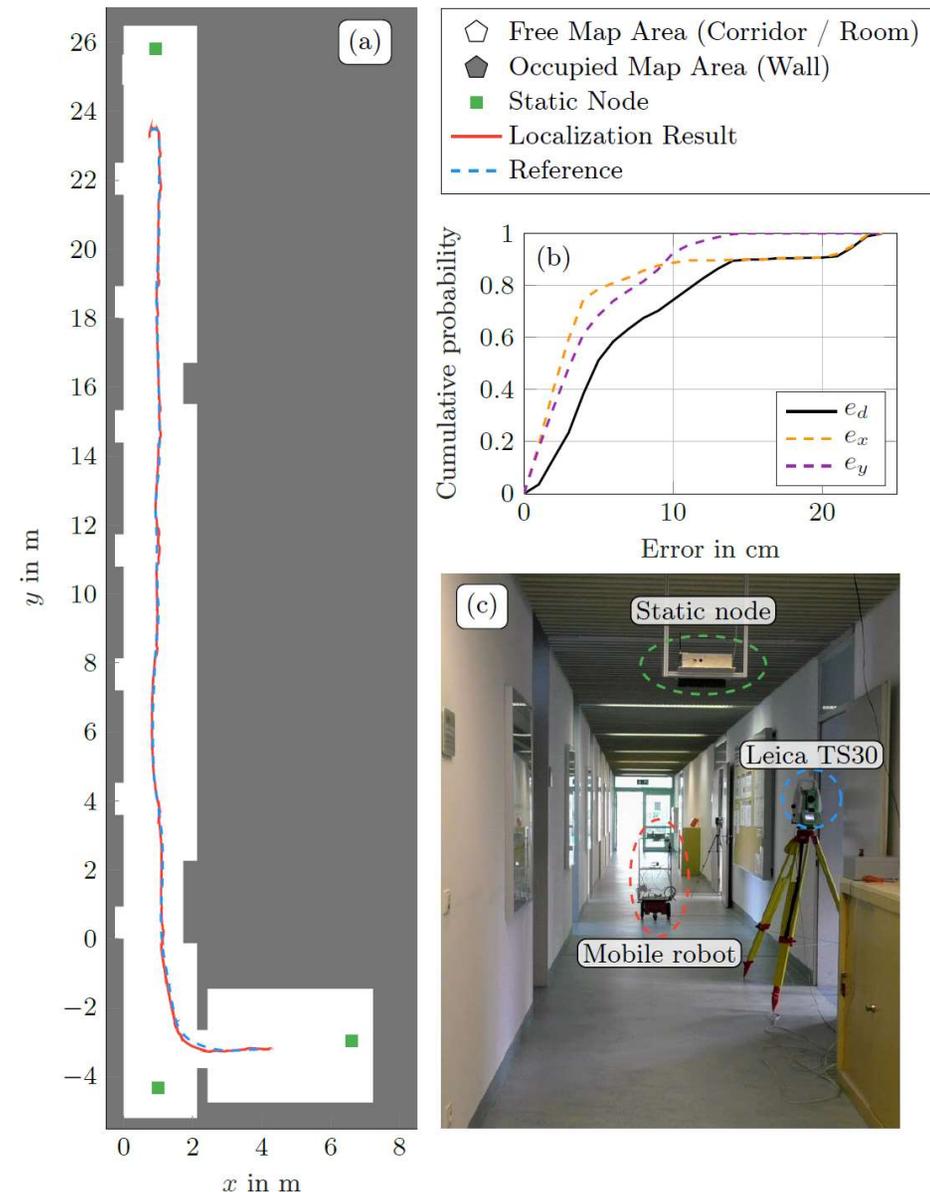
■ 1 m    ■ 75 cm    ■ 50 cm · Radar node

- RTOF+DOA: Only a single radar node sufficient
- Provides reliable coverage of a larger volume
- Orientation estimation possible

# Sensor Fusion - Results

- Angle estimation severely disturbed in corridor
- Ultrasonic wall-detection system helps in cross-range
- Odometry useful when other sensors disturbed
- Achieved 2D position accuracy of 10 cm

→ Localization sufficiently robust and accurate for navigation



# Microsoft Indoor Localization Competition 2016

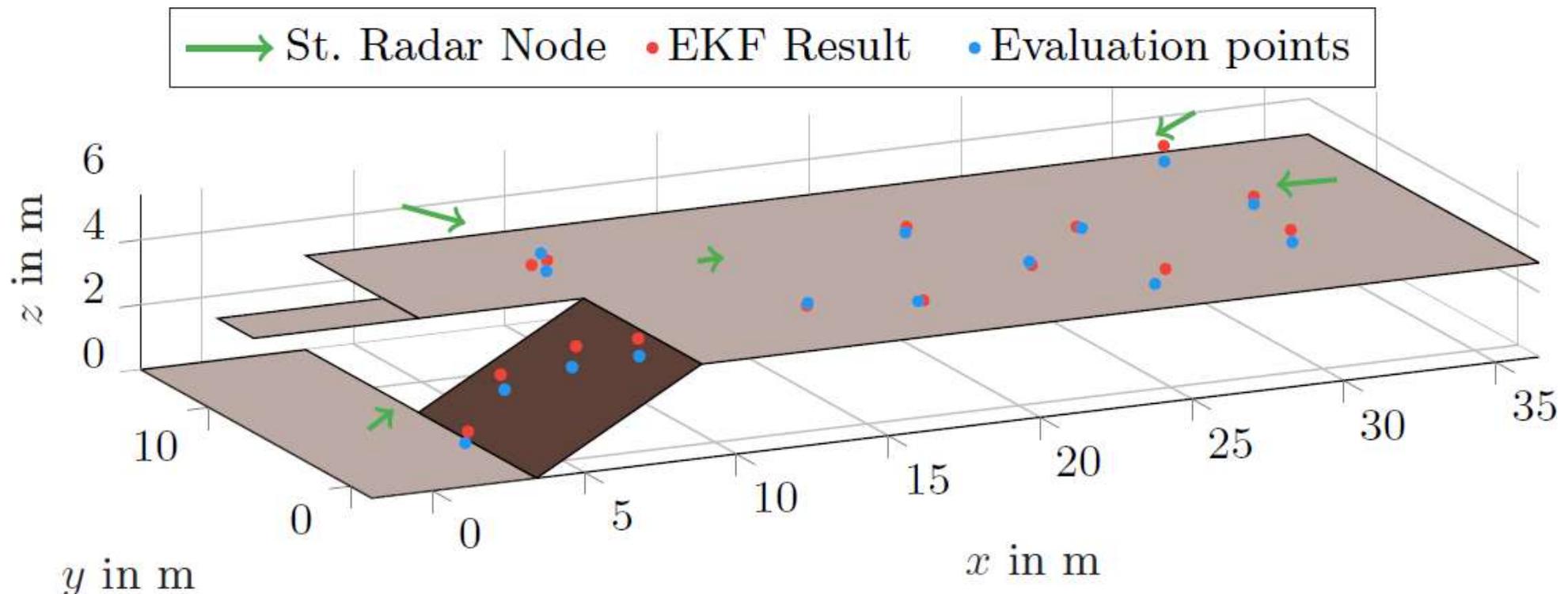
- Annual event
- 31 contestants in 2016 (18 in 3D and 13 in 2D category)



Evaluation area in the Dachfoyer hall of the Hofburg building (former imperial palace) in Vienna, Austria

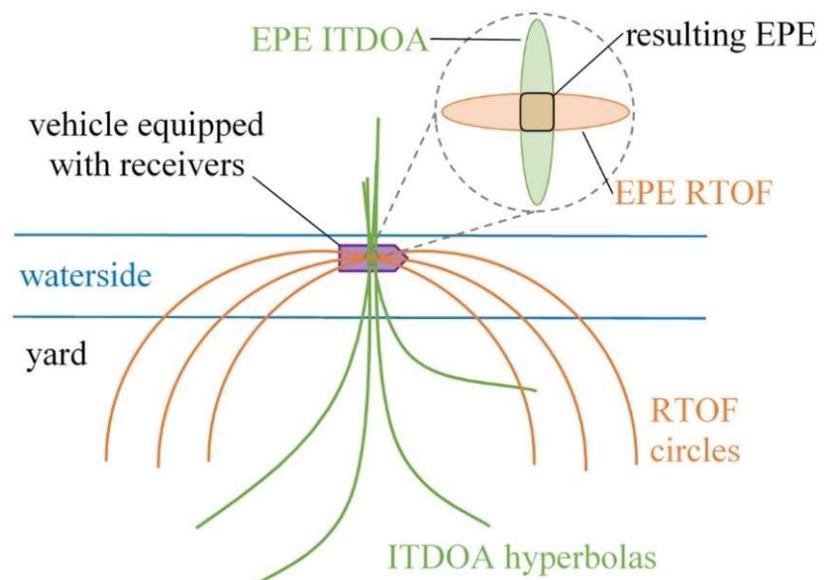
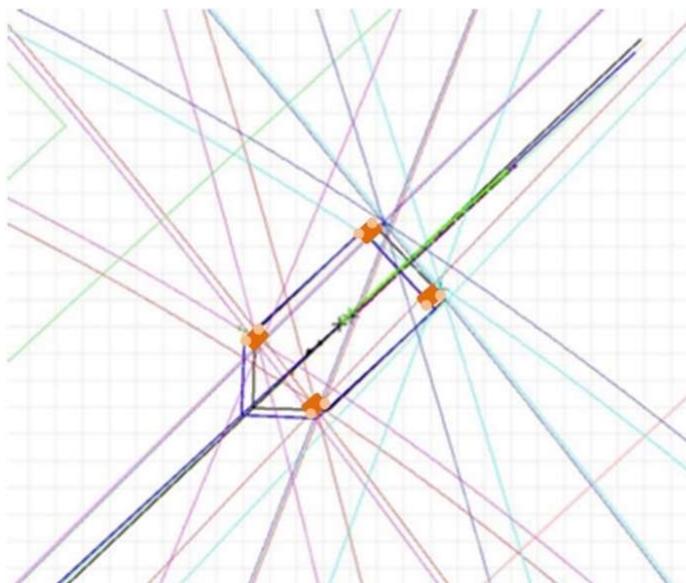
# Microsoft Indoor Localization Competition 2016 - Results

- Mean absolute error 37 cm  $\rightarrow$  4<sup>th</sup> place
- Almost all other systems used UWB and multilateration with much larger bandwidth!



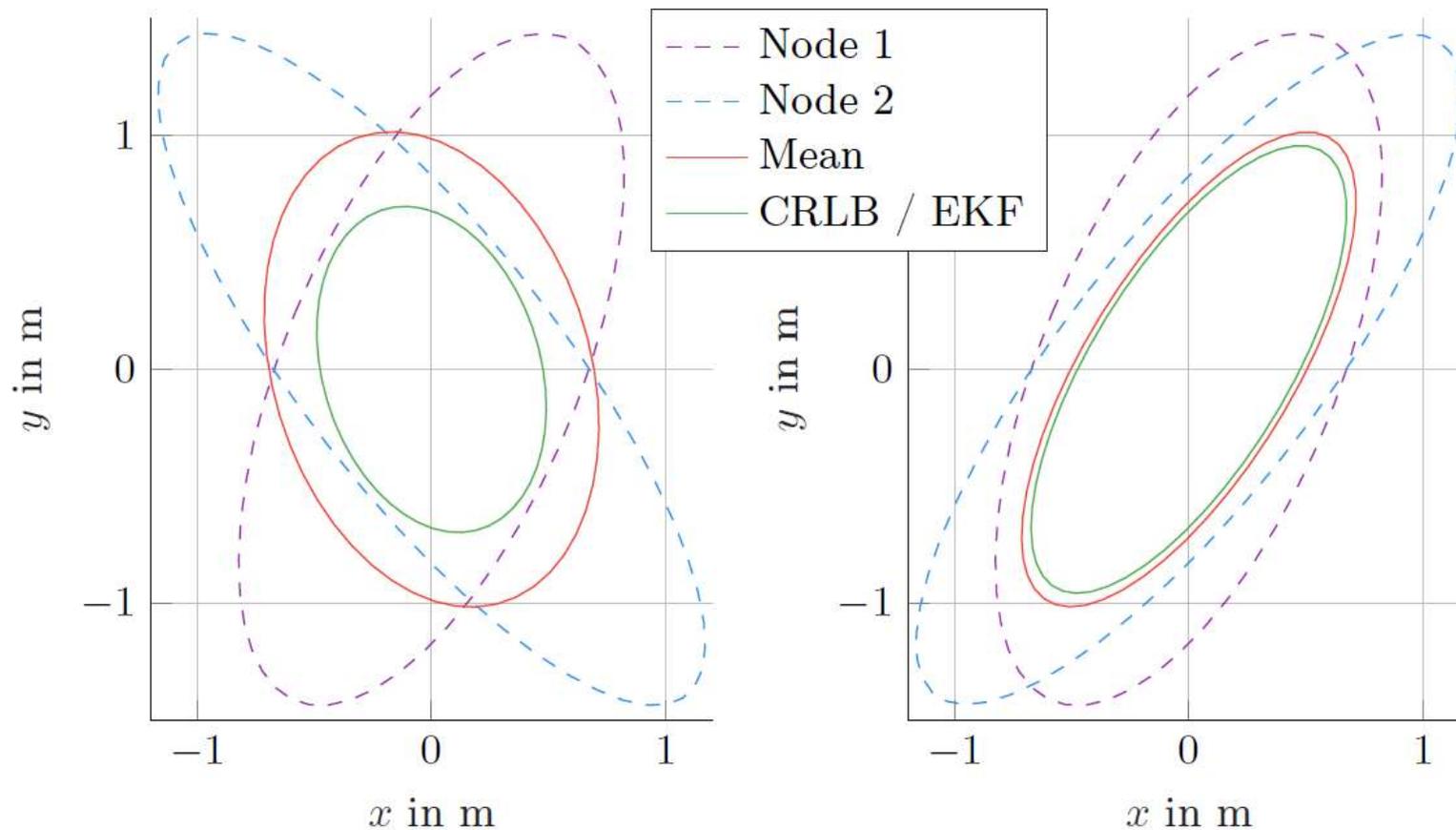
# Terminal Logistics WLPS

- Each vehicle equipped with 4 radar nodes with 2 antennas for diversity
- Sensor fusion with IMU and odometry
- Combination of ITDOA and RTOF to improve DOP in areas under cranes → 95% of errors < 9 cm
- **Outlook: Automation requires 95% of errors < 5 cm**



# RTOF+DOA

## Best / Worst Node Placement



(a) Good PDOP

**Geometrically  
orthogonal**

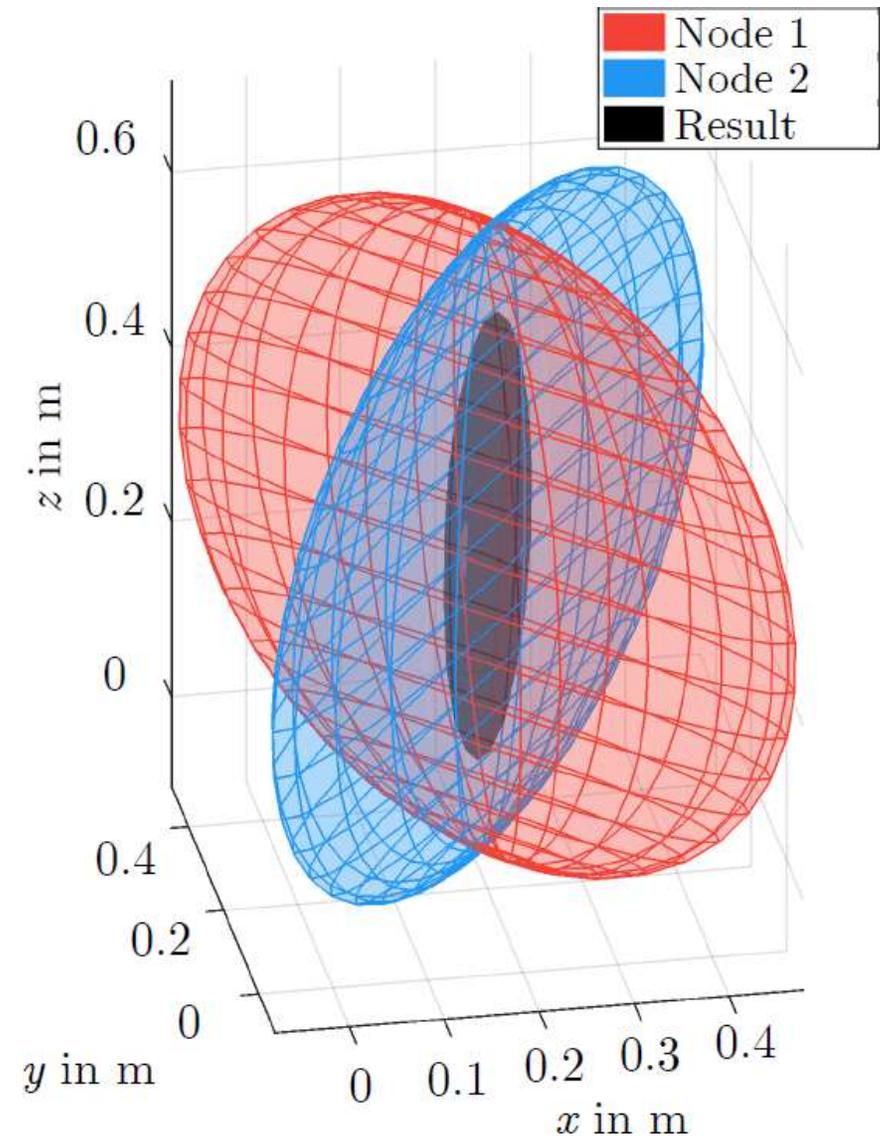
(b) Bad PDOP

**Geometrically  
parallel**

# RTOF+DOA

## Resulting Covariance in 3D

- CRLB for 3D can be derived analogously
  - When nodes arranged in plane, worse PDOP in  $z$
  - Arithmetic mean does not attain CRLB
  - Kalman Filter attains CRLB
- Use EKF to fuse radar measurements for 3D position estimation**



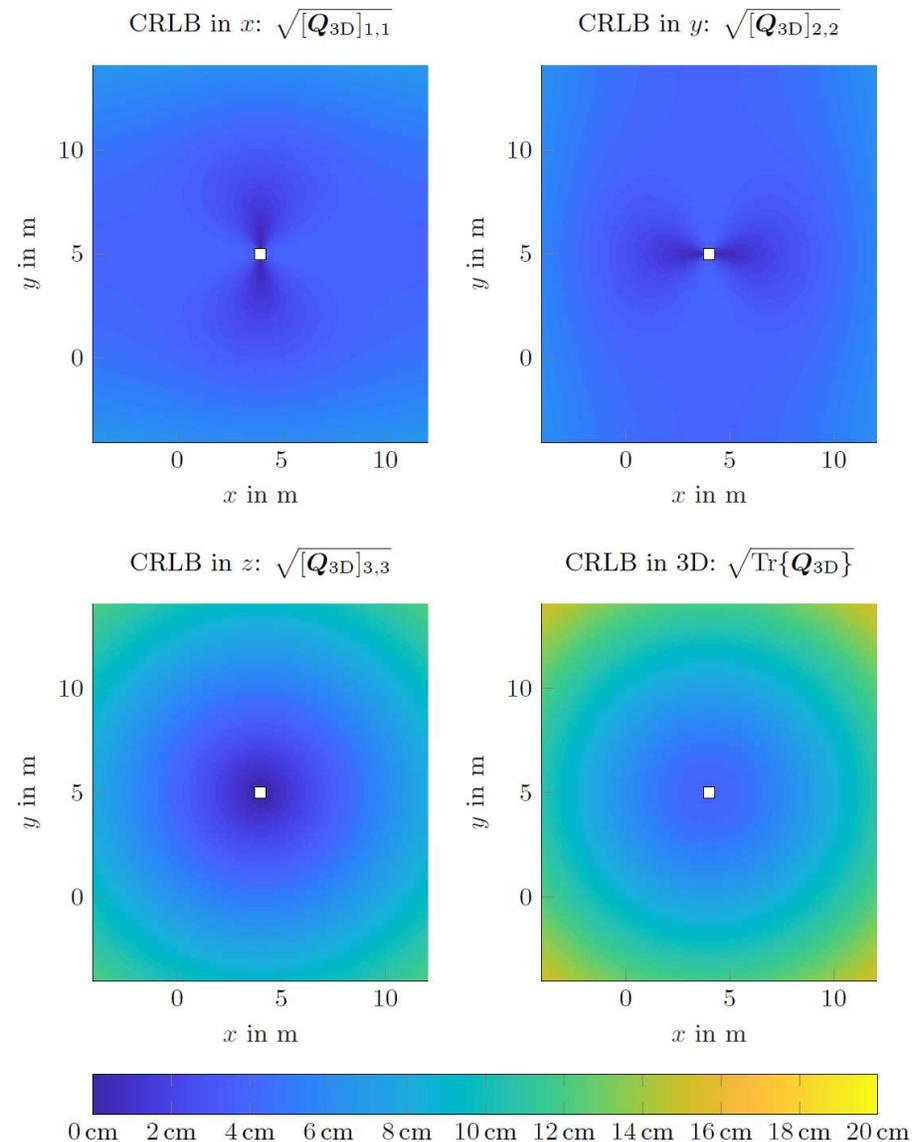
# Single Node RTOF+DOA CRLB

- Measure distance  $d$  and angles  $\varphi, \vartheta$
- Determine 3D position covariance  $\Sigma_{\alpha d, 3D}$  from measurement covariance  $Q$

$$\sigma_d = 8 \text{ cm}$$

$$\sigma_\varphi = 0.8^\circ, \sigma_\vartheta = 1.2^\circ$$

→ 3D localization with one node possible



# Multilateration CRLB

- Measure distance  $d_n$  between node position  $\mathbf{p}_{s,n}$  and target position  $\mathbf{p}_t$

$$\mathbf{p}_{s,n} = [x_n \quad y_n \quad z_n]^T, \mathbf{p}_t = [x \quad y \quad z]^T$$

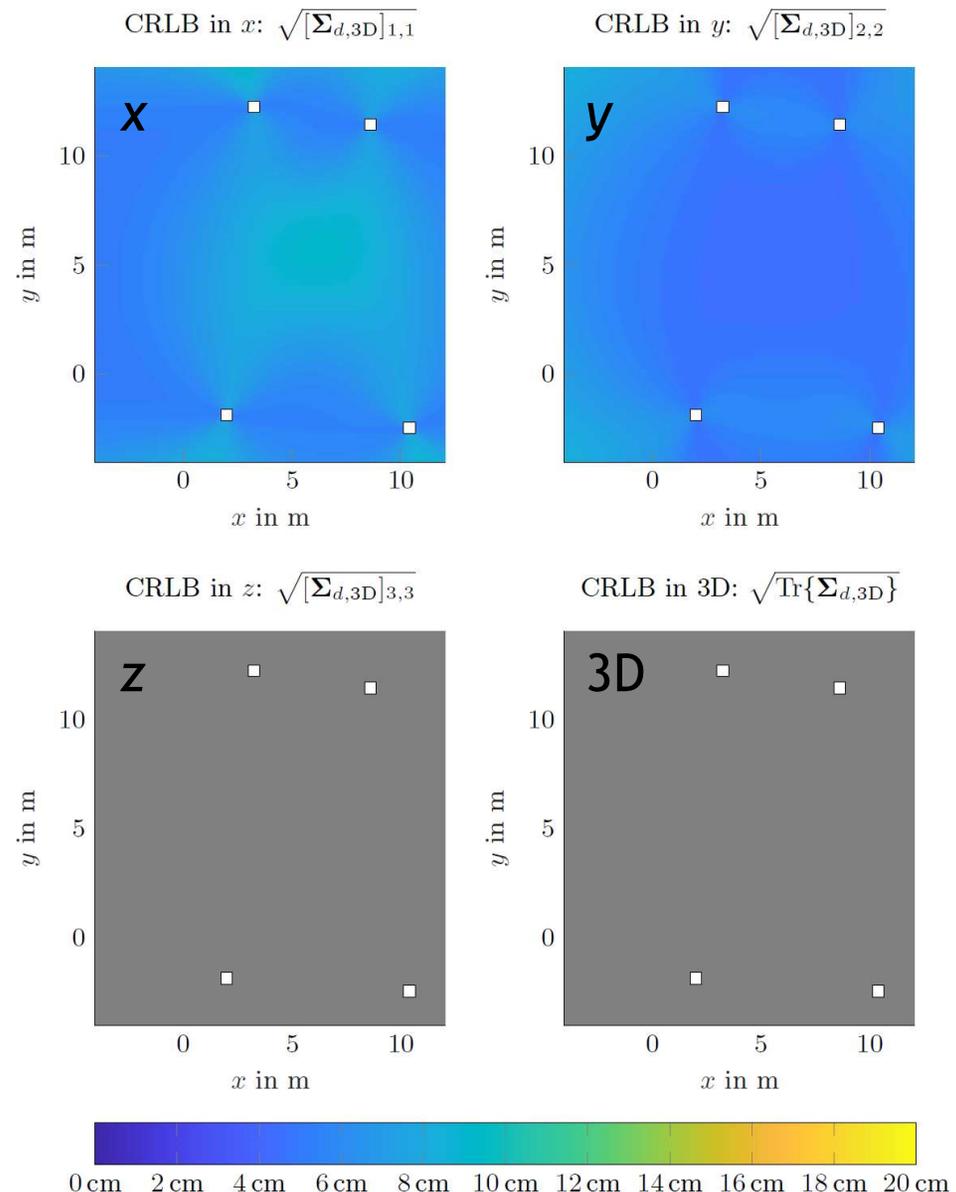
$$d_n = \sqrt{(x - x_n)^2 + (y - y_n)^2 + (z - z_n)^2}$$

- Determine 3D position covariance  $\Sigma_{d,3D}$  from measurement covariance  $\mathbf{Q}$

$$\mathbf{G}(\mathbf{p}_{s,n}, \mathbf{p}_t) = \frac{\partial \mathbf{d}}{\partial \mathbf{p}_t}, \mathbf{Q} = \sigma_d^2 \mathbf{I}$$

$$\Sigma_{d,3D} = (\mathbf{G}^T \mathbf{Q}^{-1} \mathbf{G})^{-1}, \sigma_d = 8 \text{ cm}$$

→ No 3D



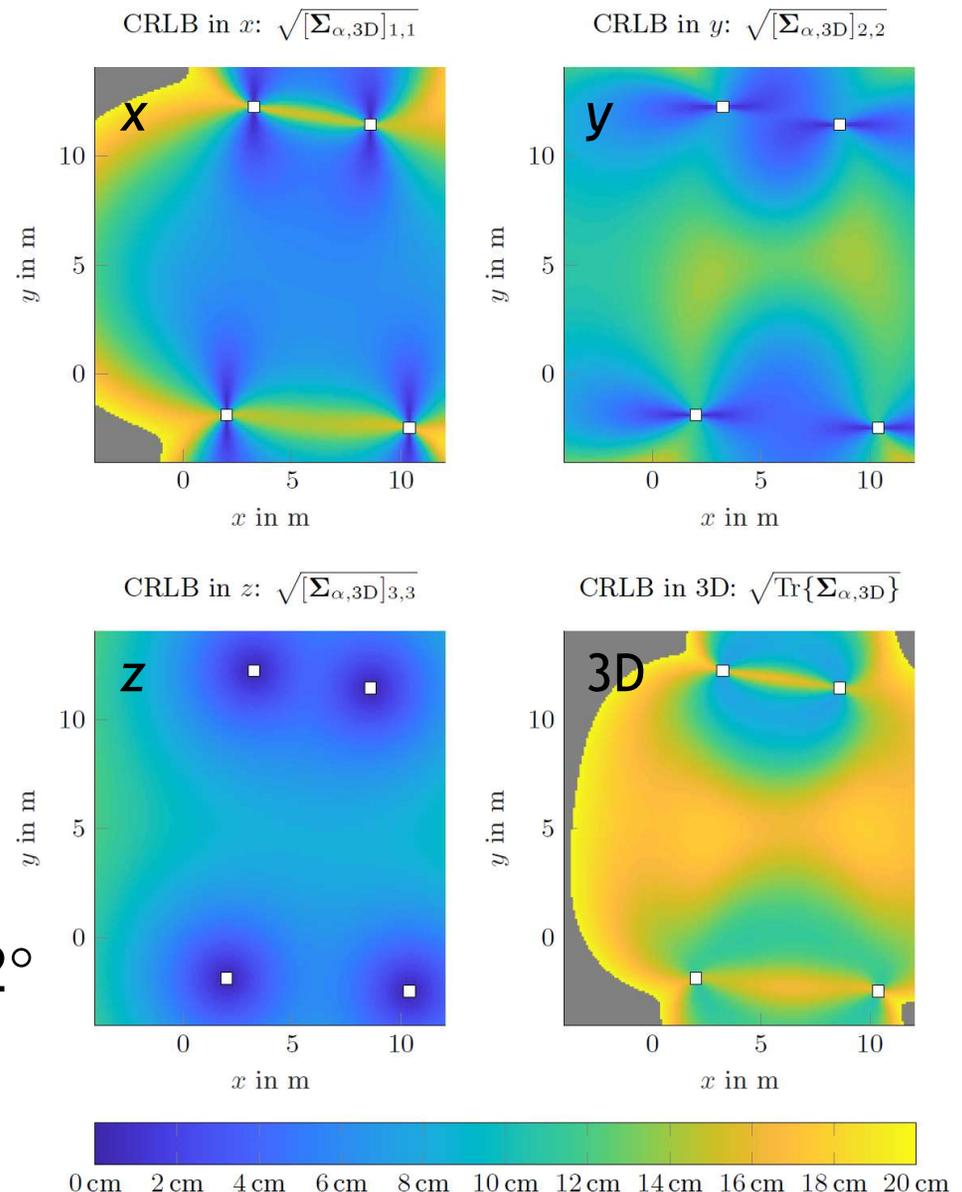
# Multiangulation CRLB

- Measure angles  $\varphi_n, \vartheta_n$  between node and target  
 $\varphi_n = \text{atan2}(y - y_n, x - x_n)$   
 $\vartheta_n = \text{asin}((z - z_n) / d_n)$
- Determine 3D position covariance  $\Sigma_{\alpha,3D}$  from measurement covariance  $Q$

$$\mathbf{G}(\mathbf{p}_{s,n}, \mathbf{p}_t) = \frac{\partial \boldsymbol{\alpha}}{\partial \mathbf{p}_t}, \mathbf{Q}_n = \begin{bmatrix} \sigma_\varphi^2 \mathbf{I} & \mathbf{0} \\ \mathbf{0} & \sigma_\vartheta^2 \mathbf{I} \end{bmatrix}$$

$$\Sigma_{\alpha,3D} = (\mathbf{G}^T \mathbf{Q}^{-1} \mathbf{G})^{-1}, \sigma_\varphi = 0.8^\circ, \sigma_\vartheta = 1.2^\circ$$

→ Areas with large PDOP



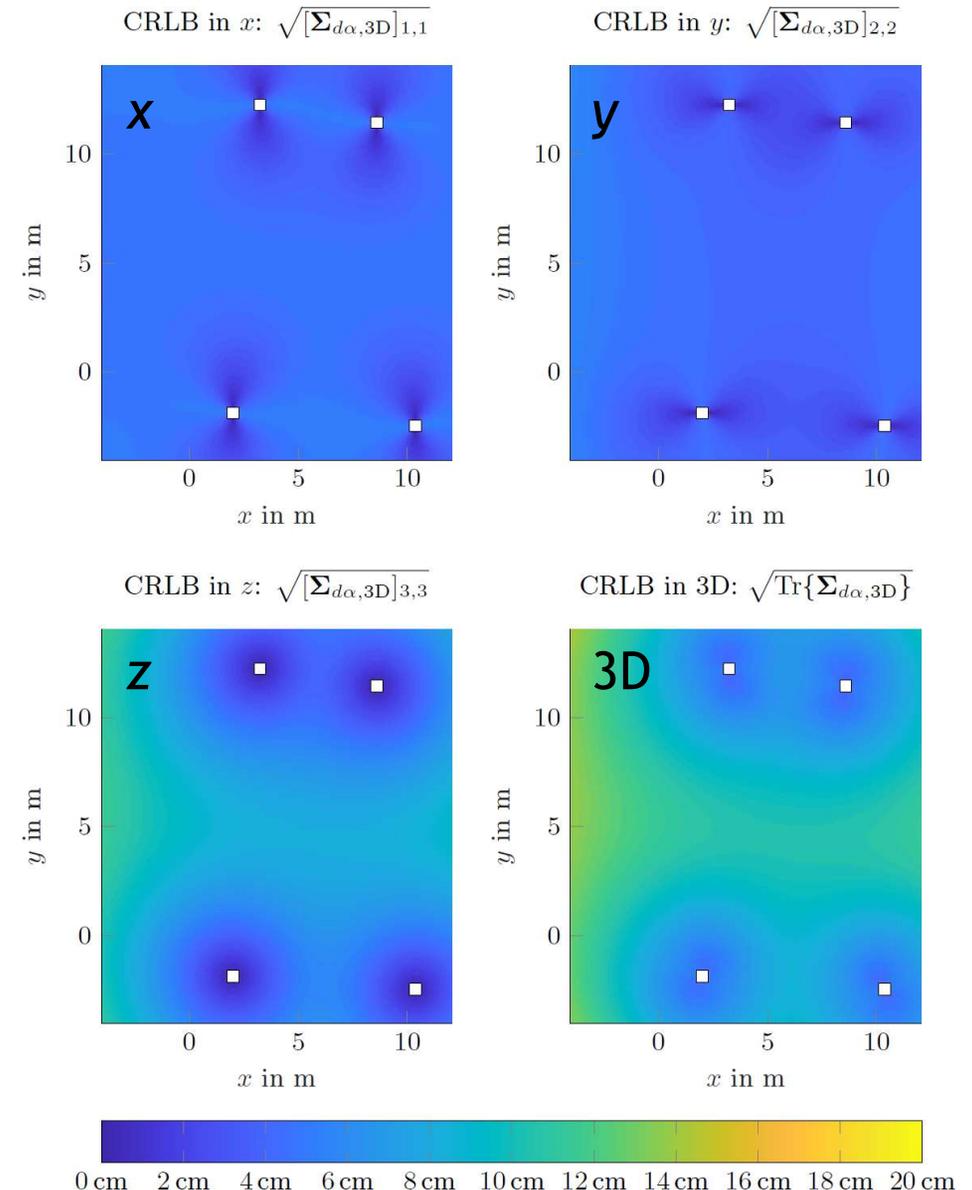
# RTOF+DOA CRLB

- Measure distance  $d_n$  and angles  $\varphi_n, \vartheta_n$
- Determine 3D position covariance  $\Sigma_{d\alpha,3D}$  from measurement covariance  $\mathbf{Q}$

$$\mathbf{G}(\mathbf{p}_{s,n}, \mathbf{p}_t) = \frac{\partial [d, \varphi, \vartheta]^T}{\partial \mathbf{p}_t}, \mathbf{Q} = \mathbf{I} \begin{bmatrix} \sigma_d^2 \\ \sigma_\varphi^2 \\ \sigma_\vartheta^2 \end{bmatrix}$$

$$\Sigma_{d\alpha,3D} = \left( \sum_{n=1}^N \mathbf{G}_n^T \mathbf{Q}_n^{-1} \mathbf{G}_n \right)^{-1}$$

→ Throughout low 3D PDOP



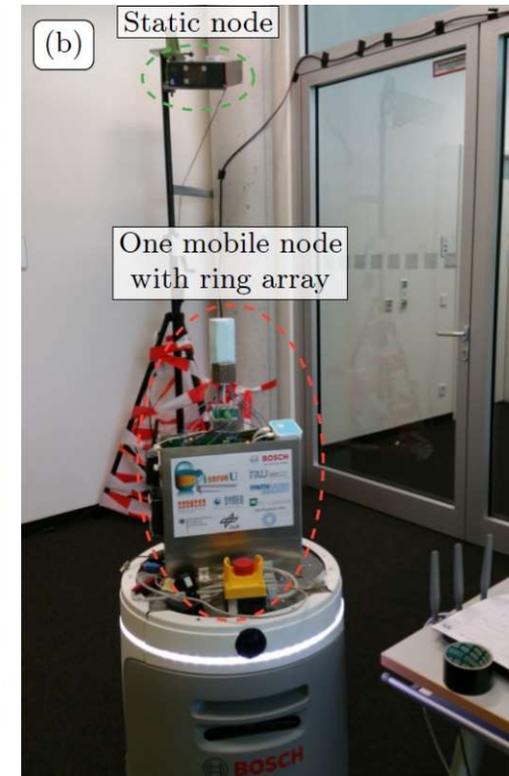
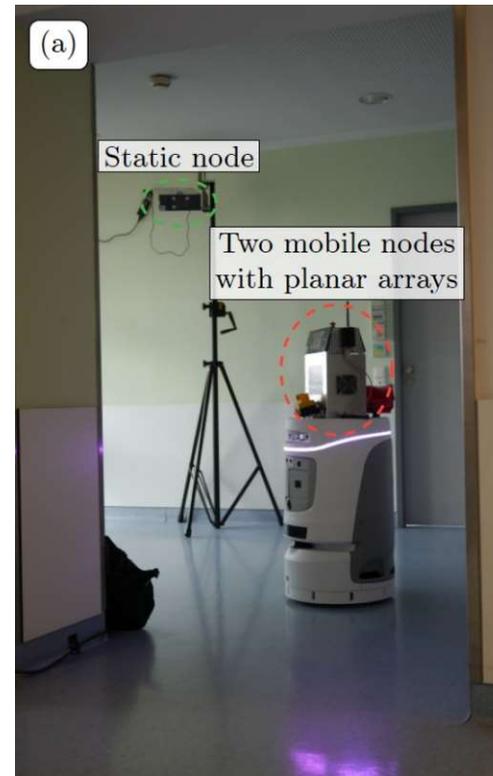
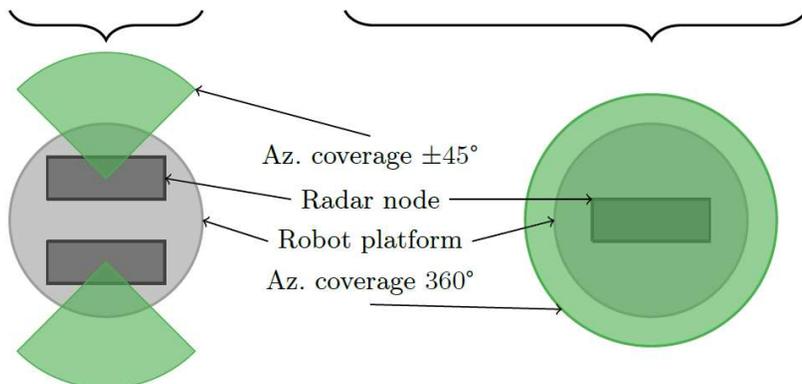
# Robot Configurations



(a) Demonstrator robot platform with two radar nodes with planar arrays

(b) Demonstrator robot platform with one radar node with ring array

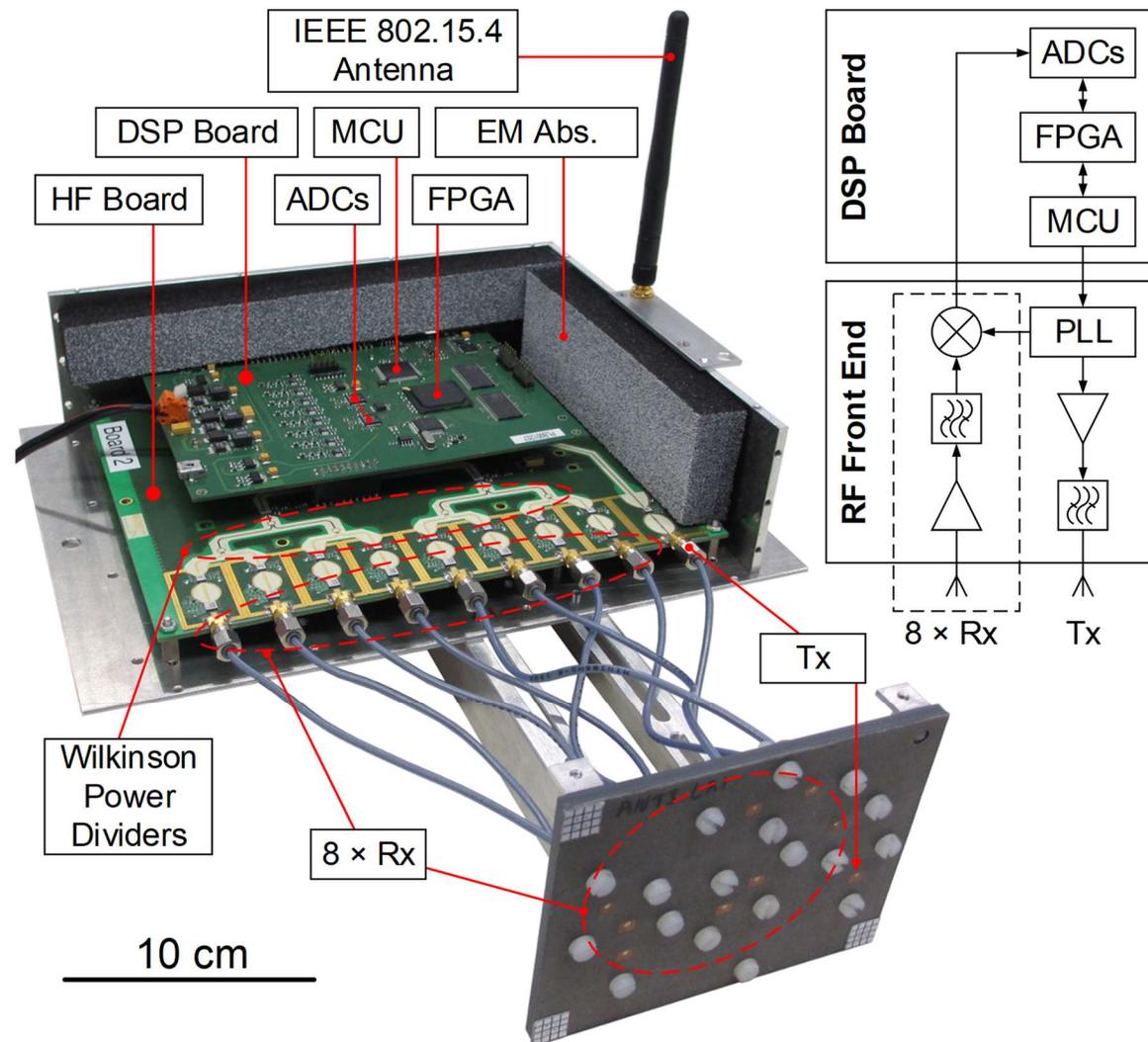
(c) Test robot platform with one radar node with ring array



Mobile robot with secondary radar in 2 indoor scenarios

# 24 GHz FMCW SIMO Secondary Radar

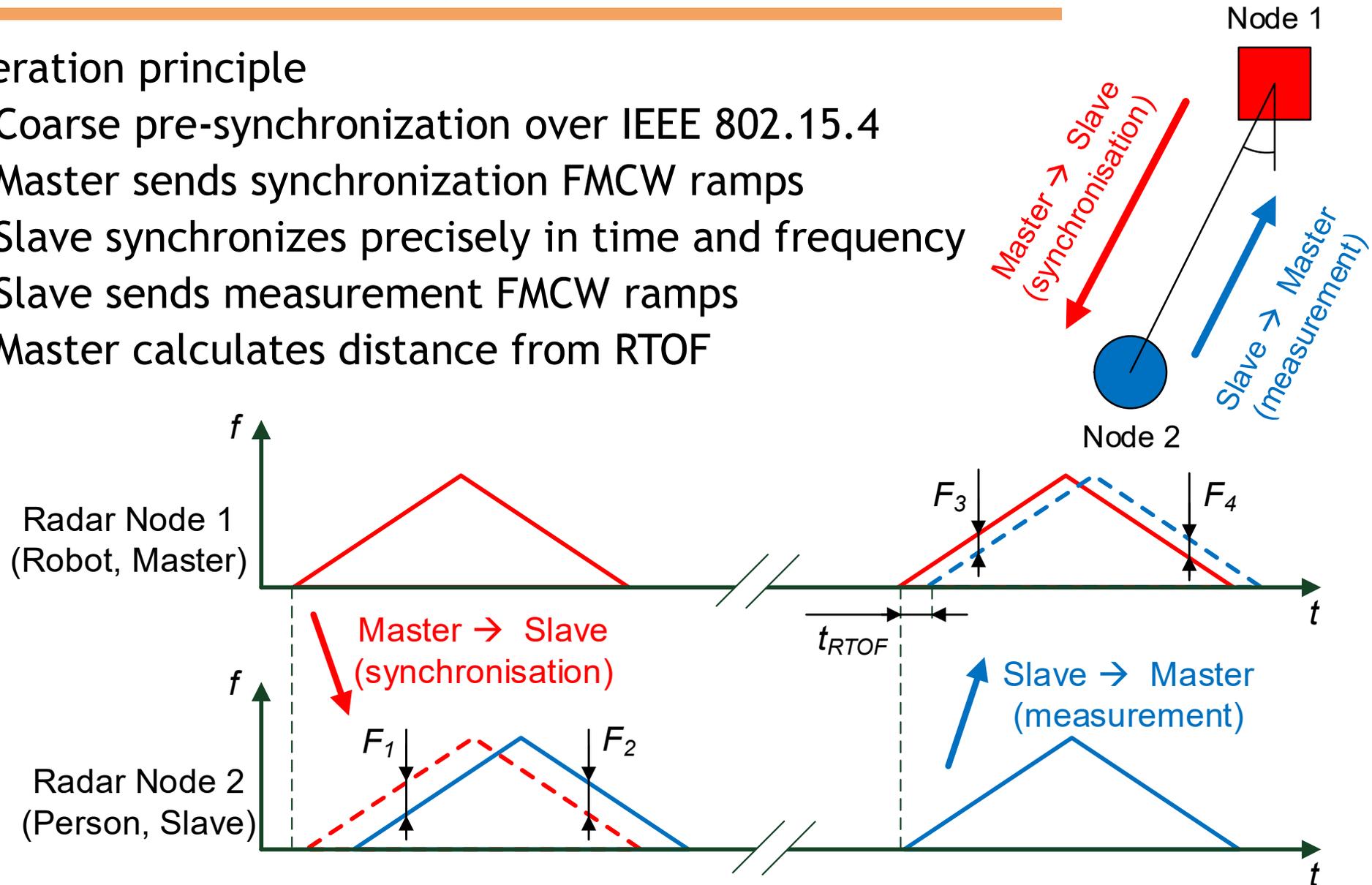
- 8 channel FMCW SIMO
- 2D sparse antenna array
  - Azimuth and elevation DOA estimation
- RF front end
  - Center frequency: 24.125 GHz
  - Sweep Bandwidth: 250 MHz
- DSP board
  - 14-bit ADCs
  - Signal processing on FPGA / ARM CPU



# 24 GHz Secondary Radar

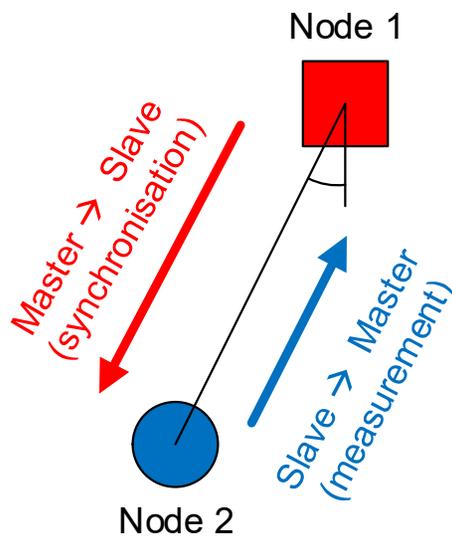
## Operation principle

- Coarse pre-synchronization over IEEE 802.15.4
- Master sends synchronization FMCW ramps
- Slave synchronizes precisely in time and frequency
- Slave sends measurement FMCW ramps
- Master calculates distance from RTOF

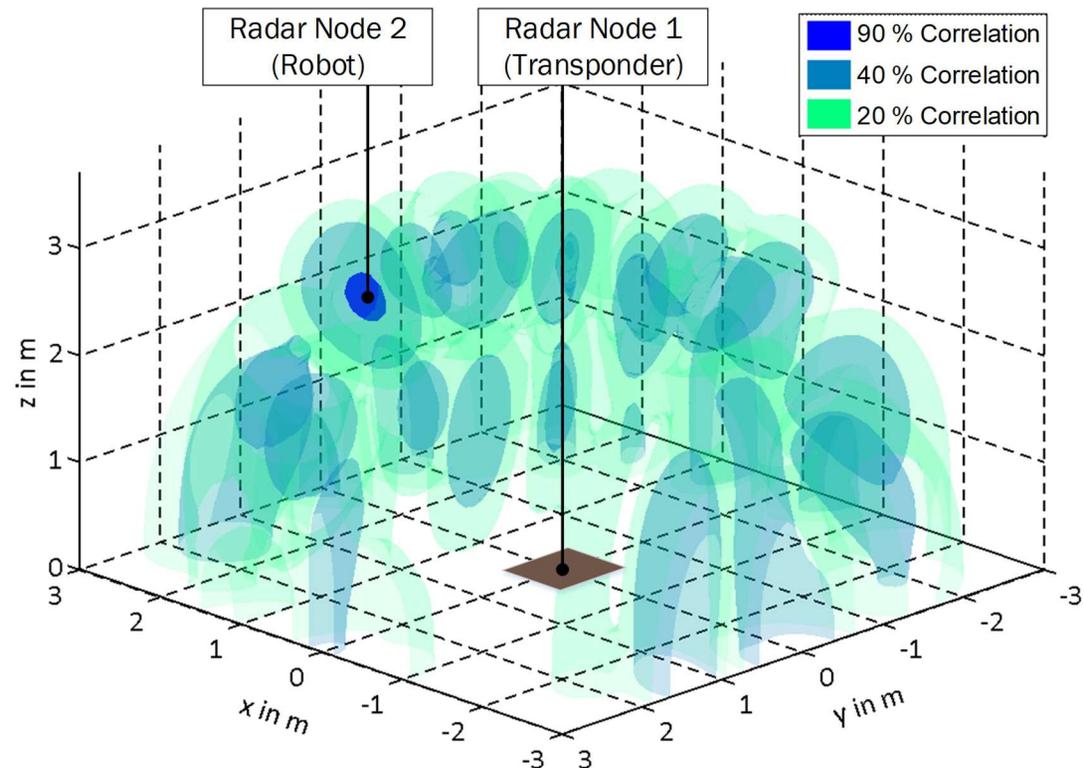


# 3D Localization - Operation Principle

- Precise synchronization using FMCW ramps enables accurate RTOF measurement (and thus distance measurement)
- Azimuth and elevation measurement using digital beamforming
- Angle estimation accurate and reliable in rooms and foyers
- Disturbance by multipath signals in long narrow corridors

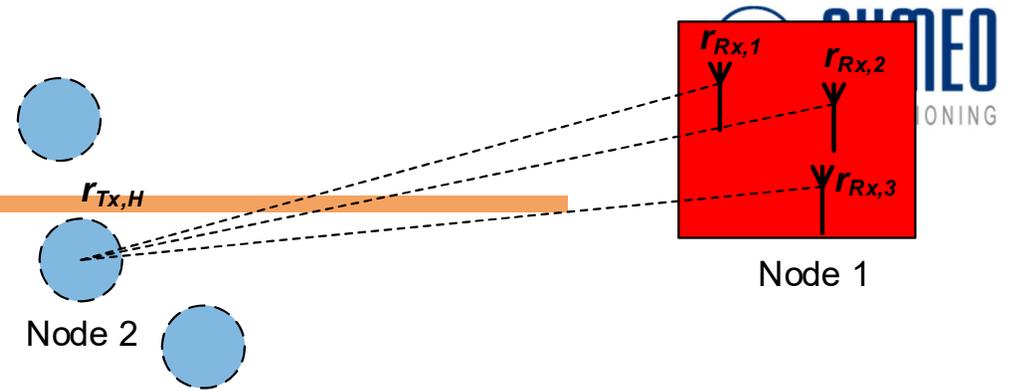


Operation Principle



3D Spatial Matched Filter Pseudo-Spectrum

# 3D Localization



- Signal model for IF signal

$$s_n(t) = A_n \cos(2\pi f_n t + \varphi_{g,n} + \varphi_{c,n})$$

- $f_n$ : frequency in channel  $n$
- $\varphi_{g,n}$ : Phase due to TOF
- $\varphi_{c,n}$ : Phase mismatch

- 3D spatial matched filter  $H_n$

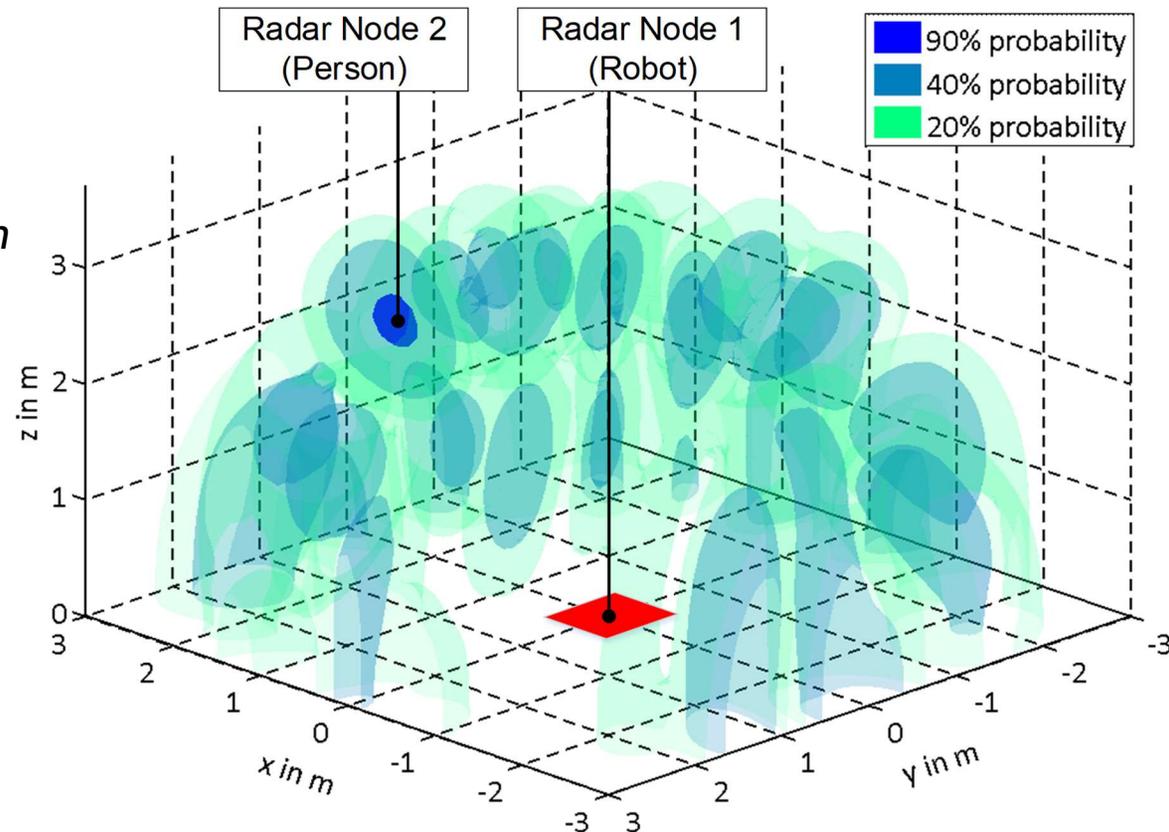
$$H_n = \exp\left(-j2\pi \frac{\|r_{Rx,n} - r_{Tx,H}\|_2}{\lambda}\right)$$

- $r_{Rx,n}$ : 3D location of antenna  $n$
- $r_{Tx,H}$ : Hypothesis in 3D

- 3D probability distribution

$$l(r_{Tx,H}) = \left| \sum_{n=1}^8 S_n H_n \right|, S_n = \mathcal{F}(s_n(t))$$

## Normalized probability density distribution



→ 3D localization of a person possible

# 2D Array Calibration

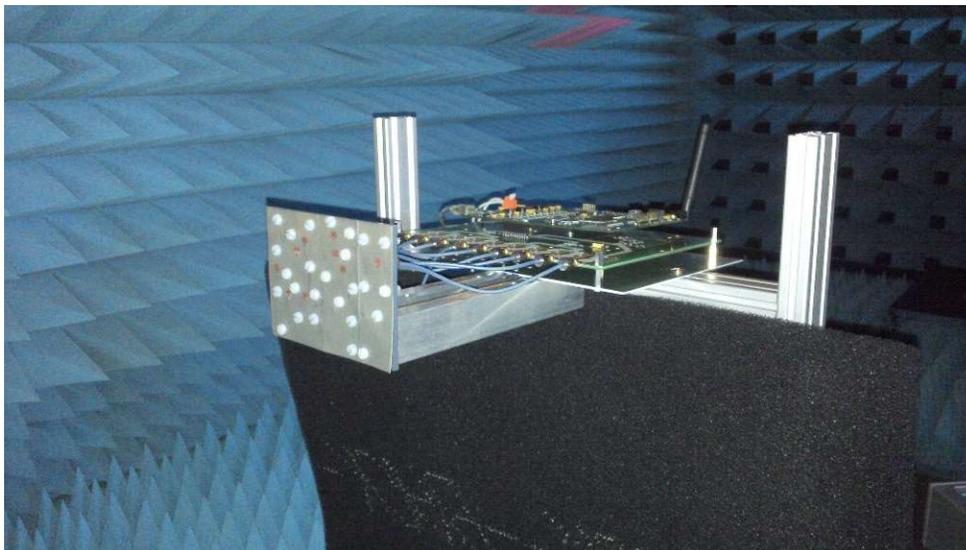
- Problem: Side lobes rising due to channel-to-channel phase mismatch  $\varphi_{c,n}$
- Calibration approach: Model channel mismatch and mutual coupling by  $8 \times 8$  complex matrix  $\mathbf{C}$ 
  - Measurements to a target at multiple known positions in anechoic chamber
  - Formulate and solve least-squares problem to obtain  $\mathbf{C}$
  - Apply calibration to measurement  $\mathbf{S}$

$$\mathbf{S}_{cal} = \mathbf{C}^{-1} \mathbf{S}$$

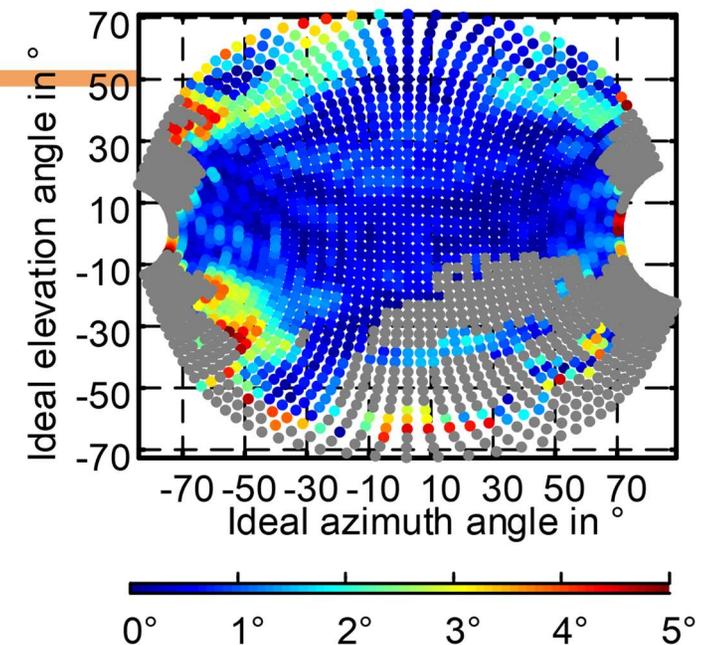
→ Unambiguous measurement range extended to  $> \pm 45^\circ$  in both azimuth and elevation

→ Mean absolute error  $< 1^\circ$

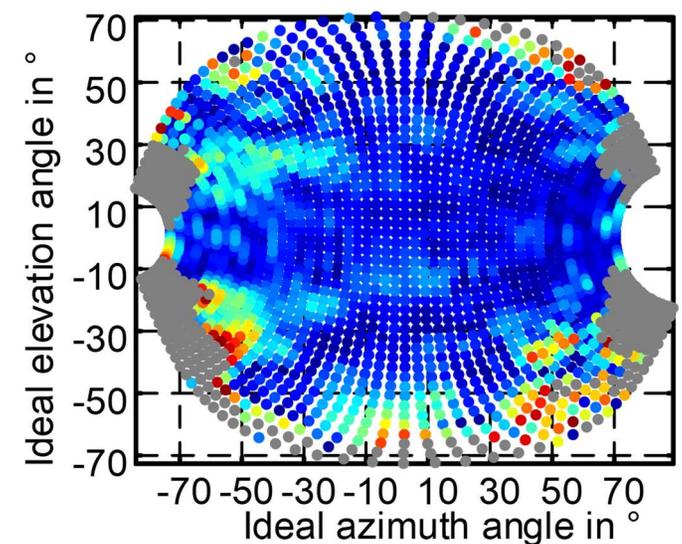
→ SLL reduced to close-to-ideal levels



Error in azimuth before calibration

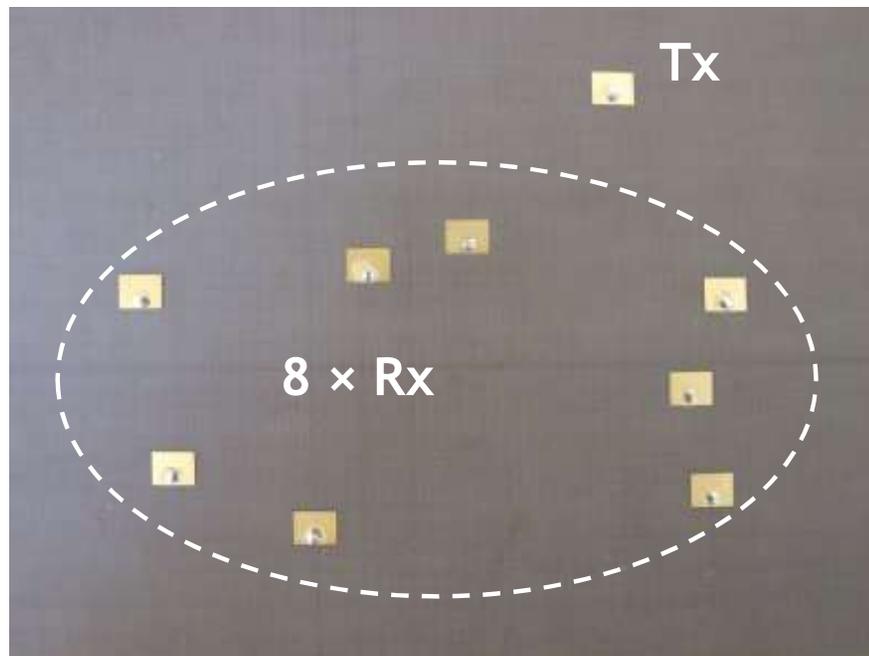


Error in azimuth after calibration

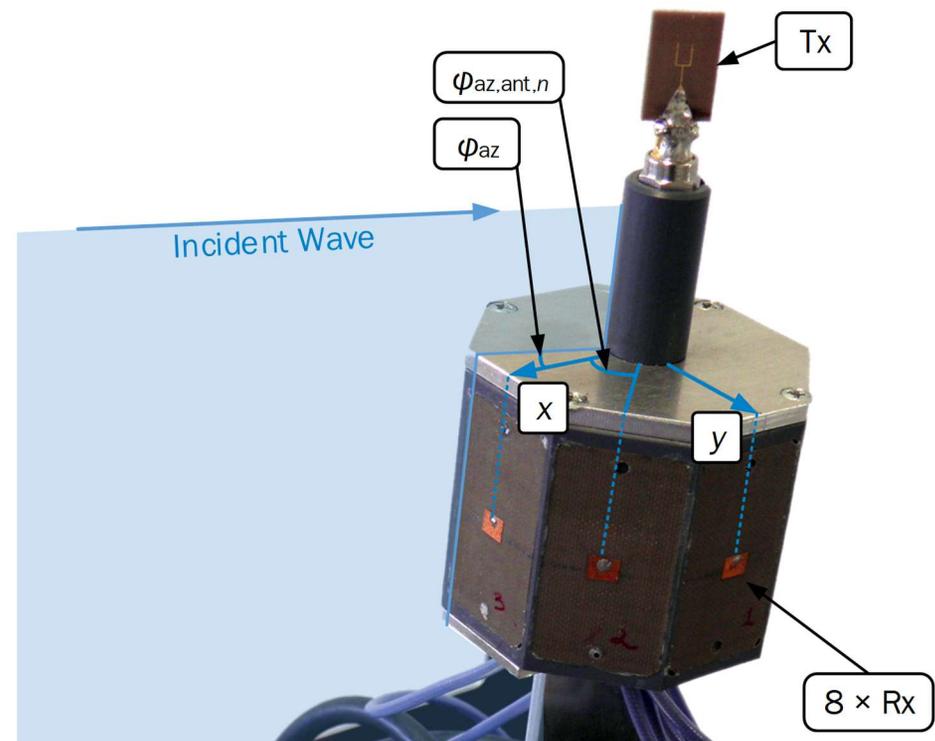


# Sparse Antenna Arrays

- Planar Antenna Array
  - Unambiguous measurement range  $> \pm 45^\circ$  in azimuth and elevation
  - Accuracy RMSE  $\approx 1^\circ$
  - Stationary reference nodes



- Ring Antenna Array
  - Measurement range  $360^\circ$  in azimuth
  - Accuracy RMSE  $\approx 2^\circ$
  - Mobile node



# Ring Array - Angle Estimation

- Signal model for channel  $n$ :

$$S_n = A_n \cdot \exp(\phi_{g,n} + \phi_{c,n})$$

- Amplitude monopulse

$$A_{n,h}(\varphi_{az,h}) = \text{RP}(\text{wrap}(\varphi_{az,ant,n} - \varphi_{az,h}))$$

$$R(\varphi_{az,h}) = \sum_{n=1}^8 |\overline{A}_n - A_{n,h}(\varphi_{az,h})|$$

$$\varphi_{az,AM} = \underset{\varphi_{az,h}}{\text{argmin}}\{R(\varphi_{az,h})\}$$

- $\varphi_{az,AM}$  is a coarse but stable and unambiguous estimate
- Use signal phases and Bartlett beamformer for better accuracy

